DRONE-BASED 4G COMMUNICATION SYSTEM FOR STRATEGIC AND PUBLIC PROTECTION AND DISASTER RELIEF VOICE AND VIDEO APPLICATIONS

A THESIS

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THESIS CERTIFICATE

This is to certify that the thesis titled "DRONE-BASED 4G COMMUNICATION SYSTEM FOR STRATEGIC AND PUBLIC PROTECTION AND DISASTER RELIEF VOICE AND VIDEO APPLICATIONS" submitted by SANJAY KUMAR HAOJAM to the Indian Institute of Technology, Madras for the award of the degree of Master of Technology, is a bona fide record of research work carried out by him under my supervision. The contents of this thesis, in full or in parts, have not been submitted to any other Institute or University for the award of any degree or diploma.

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ABSTRACT

KEYWORDS: PPDR, ad-hoc mobile network, Spectrum Sensing, Jammers, 4G, LTE

Two scenario wherein emergency communication are needed are natural calamities (hurricanes, floods etc) and manmade disasters (such as railway accidents, riots, etc) in order to carry out the relief work. India is a country comprising of diverse weather scenarios and the effects of the varied weather conditions are very well known. India is highly prone to natural disasters like floods, earthquakes, coastal cyclones. Hence, the country has taken up huge steps and measures to provide relief to the disaster affected areas and the people. Various agencies work together to provide public safety and disaster relief activities are in vogue throughout the year. Hence, coordination between these agencies and the government bodies are a must.

During such events, there is sudden increase in communication traffics (voice, video & data) in cellular network. The sudden increase in loads, in conjunction with the failures due to natural/ man-made emergencies resulted into complete choking of the network infrastructure, causing communication outages during such times.

Moreover, being from the Army, and specifically from an organization dealing with communications in the Army, various challenges were envisaged. There are many places in the country where Defence Forces are deployed, but the area is void of mobile communication network. Lot many places have almost negligible signal strength to support calling for a very small period of time.

The goal of this project is to provide a Droned-Based 4G Communication system, which is quick and easy to establish and can be mobilized easily in a short duration of time. The main aim of the proposed system is to provide the Public Protection and Disaster Relief (PPDR) Agencies, Military/ Para-military/ medical team favorable working environment to carry out their intended relief work, with full communication connectivity.

The proposed setup will have multi-forum applicability not only for public protection and disaster relief duties, but in military tactical operations, communication system for military installation where normal mobile services are not available.

The proposed system will cater for the communication in PPDR activities as well as for defence applications. It can also be utilized as an ad-hoc mobile network being set up for particular event, or task or for temporary halts at a place.

NOTE:

This project is a joint effort of two students, namely EE18M005 Sanjay Kumar Haojam and EE18M009 Arun Singh Pundir and covers two different aspects for its application. The common part of the project is the implementation of 4G/LTE network by both the student. The two other sub-parts of the project includes paper study on spectrum sensing algorithms and jammers. However, the thesis here will cover the part dealing with 4G/LTE and spectrum sensing. The other part of the project on 4G and jammer will be covered by Arun Singh Pundir.



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ABBREVIATIONS USED

PPDR – Public Protection and Disaster Relief

4G/LTE – 4th Generation Long Term Evolution

ENB - eNodeB or Evolved Node B

EPC - Evolved Packet Core

SDR - Software Defined Radio

IoT – Internet of Things

ITU - International Telecommunication Unit

DMR – Digital Mobile Radio

TETRA - Terrestrial Trunked Radio

TDMA – Time Division Multiple Access

FDMA – Frequency division Multiple Access

DQPSK – Differential Quadrature Phase Shift Keying

MCTE – Military College of Telecommunication Engineering

APCO-25 – Association of Public-Safety communication Officials International -25

CAI – Common Air Interface

H-DQPSK - Harmonized DQPSK

SATCOM – Satellite Communication

UTRAN – Universal Terrestrial Radio Access Network

MME/S-GW – Mobility Management Entity/Serving Gateway

P-GW/PDN-GW - Packet Data Network Gateway

PRB – Physical Resource Blocks

OAI – Open Air Interface

USRP - Universal Software Radio Platform

UE – User Equipment

srsLTE – Software Radio System LTE

NUC – Next Unit Of Computing

JTRS – Joint Tactical Radio System

RLC – Radio Link Control

PDCP – Packet Data Convergence Protocol

NDRF - National Disaster Relief Force

LMR - Land Mobile Radio



CHAPTER 1

INTRODUCTION

1.1 GENERAL BACKGROUND

The Indian Army operates in various terrains in the country. Being in the Corps of Signals, several communication challenges came across while providing communication to the deployed formations/regiments. These challenges relate to providing communication in areas with little or no network infrastructure, thickly wooded terrain, dead ground, tactical deployment, communication during disaster managements like floods, etc. Moreover, while providing wireless communication, various interferences also crop in which affects the performance of overall wireless system.

Two scenario wherein emergency communication are needed are natural (hurricanes, floods etc) and manmade disasters (such as railway accidents) in order to carry out the relief work. India is a country comprising of diverse weather scenarios and the effects of the varied weather conditions are very well known. India is highly prone to natural disasters like floods, earthquakes, coastal cyclones. Hence, the country has taken up huge steps and measures to provide relief to the disaster affected areas and the people. Various agencies work together to provide public safety and disaster relief activities are in vogue throughout the year. Hence, coordination between these agencies and the government bodies are a must.

During such events, there is sudden increase in communication traffics (voice, video & data) in cellular network. The sudden increase in loads, in conjunction with the failures due to natural/man-made emergencies result into complete choking of the network infrastructure, causing communication outages during such times.

The goal of this project is to provide a Droned-Based 4G Communication system, which is quick and easy to establish and can be mobilized easily. The main aim of the proposed system is to provide the PPDR Agencies, Military/ Para-military/ medical team favorable working environment to carry out their intended relief work and necessary military activities, with full communication connectivity.

1.2 KEY CONCEPTS

To overcome these voids, proposal is made to design and configure a mobile 4G eNodeB which can be mounted over a drone or an Armored vehicle to create an ad-hoc communication support system which can be quickly deployed during any natural or manmade calamities, public protection or in inaccessible areas, these areas can be ones which are, although, may have near well-established communication infrastructure but due to dead zone deployment lacks adequate connectivity from it or ones that lack communication infrastructure altogether.

1.3 SCOPE OF THE THESIS

The project is an attempt to study various 4G/LTE network infrastructures which are available in open source environment and deploy a fully functional, highly reliable mobile network which can be mounted over any Drone or any military fighting vehicles. This Droned-Based 4G Communication system as proposed is to provide the PPDR Agencies Military/ Para-military/ medical team favorable working environment to carry out their intended relief work, or military activities with full communication connectivity.

CHAPTER 2

FORMULATION OF THE PROJECT PROPOSAL

2.1 PROBLEM STATEMENT

Communication plays an important role in providing rescue and relief activities during natural or man-made disasters, especially in highly prone countries like India. Public Protection and Disaster Relief (PPDR) Agencies like Police, Fire, Paramedics, Military, etc need strong and resilient communication networks for their emergency and public safety operations. Hence, the effectiveness and efficiency of public protection, safety and law enforcements pivots on the ROBUST and RELIABLE COMMUNICATION INFRASTRUCTURE.

We are also aware of the situation in military environment. The military unit or subunits are deployed all over the country. Most of the areas where military stays are away from the usual build up of the civilian environment. Hence, it is a usual paradigm that the area is generally devoid of strong mobile network infrastructure owing to the commercial needs of the service providers. Moreover, there are tactical activities which entail a group of people from military to undergo and require a communication set up which is not a part of the general mobile network for security of communication. The quick and robust communication setup requirements in the Army and other Governmental or Non-Government agencies entails rapid action by the body responsible for provision of communication infrastructure. This leads to the inescapable need of developing a quick and time-tested reliable model for strategic, tactical and public protection and disaster relief communication system, which are robust and easy to deploy.

2.2 FORMULATION OF SOLUTION

The Project aims to develop a 4G based Communication System for the PPDR applications, which can be deployed at the affected areas and can be mobilized in a less than 30 mins, so as to enhance the effectiveness of the PPDR teams. The same set up is proposed to be utilized for the Military purpose as well.

The Communication System will be formulated comprising of an SDR with the transceiver configured as a 4G eNodeB and EPC, a small form factor computer for running

the SDR and power arrangements, housed in a box. The entire set up will be mounted on a Drone for enhanced mobility and flexibility (typical flying height of drone 25-50m).

The primary limitation of drone is their limited flying time. This issue will be addressed by using a tethered drone with the tether providing power & data connectivity thereby enabling the drone to fly for extended period (several hours) in a day.

Moreover, drone based 4G system will integrate video camera to enable video/ image transfer. The drone can also have a spectrum sensing hardware to detect the unused frequency and tune in to use the frequency unoccupied or least occupied to avoid any interference during the whole operation and activities by the teams.

CHAPTER 3

PPDR COMMUNICATION AND PROPOSED PROJECT COMMUNICATION SYSTEM

3.1 INTRODUCTION OF PPDR

PPDR stands for Public Protection and Disaster Relief, which clearly caters to two different but interrelated activities. Public Protection is a kind of pre mediated/ pre planned preventive action whereas Disaster Relief deals with activities to be undertaken in the aftermath of an event disrupting the public order such as a disaster.

During emergency or disaster situations, especially in a country as vast & diverse like India which is highly prone to natural disaster situations like floods, earthquakes, coastal cyclone, manmade disasters like accidents, terrorist attacks etc., communications play an important role in rescue and relief operations

PPDR activities mainly cover three broad categories namely: -

- a) Routine day-to-day activities, which can be dealt by existing local authorities. e.g. Police, Fire, Ambulance, etc.
- b) Major planned events, which require deployment of additional resources sufficient time would be available for planning). e.g. Trade Fairs, International Sport, conferences, etc.
- c) Major unforeseen incidents, which also require deployment of additional resources but within a short time period (some contingency planning will be done to ensure preparedness in anticipation of such incidents). e.g. Tsunamis, Earthquakes, Cyclones, Terrorist attacks, etc.

The agencies providing the PPDR services are called as First Responders. Core PPDR agencies typically include police, fire brigades and emergency medical services. However, there are also other agencies like NDRF, coast guards and border security forces, military and paramilitary forces, custom and excise officers who are also roped in for this purpose at times in emergency situations. They all need resilient communication networks for their day-to-day, emergency and disaster relief operations.

International definition of PPDR communications PPDR communications is defined in Resolution 646 (Rev. WRC-15) as 'a combination of two key areas of emergency response activity as follows:-

- a) Public protection (PP) radio communications:- Radio communications used by agencies and organizations responsible for dealing with maintenance of law and order, protection of life and property, and emergency situations.
- **b) Disaster relief (DR) radio communications:** Radio communications used by agencies and organizations dealing with a serious disruption in the functioning of society, posing a significant, widespread threat to human life, health, property or the environment, whether caused by accident, natural or human activity, and whether suddenly or as a result of complex, long-term processes

3.2 PPDR NETWORK

Public Protection and Disaster Relief (PPDR) networks cater to communication used by agencies responsible for both public protection (maintenance of law and order, protection of life and property) and disaster relief for dealing with serious disruptions to the functioning of society that pose a significant threat to human life, health, property or the environment. PPDR networks should support voice services enabling features of push-to-talk, point-tomultipoint communication, group calling, caller identification, emergency alert and high audio quality. Today, PPDR agencies use information from a variety of databases, geographic information systems, video imagery, etc, which require transmission of data. Depending on the type of data, the speed requirements for transmission vary. For example, sending a fax would require narrowband (up to 64kbps), transfer of images and videos would require wideband (384-500kbps), and remote control of robotic devices such as in case of bomb retrieval robots, imaging/video robots or even live video requires broadband (1-100Mbps). One can envisage the benefits of having knowledge of the terrain in case of flood relief operations through high quality images and video, non-human intervention in life threatening scenarios through robotics, M2M and IoT. This knowledge and capability requires high speed data transmission and helps in making PPDR operations more effective and efficient. Thus, the need for PPDR networks that support higher data rates, along with video and multimedia capability—in addition to voice applications that can enhance situational awareness and resource allocation—is ever increasing.

3.3 EXISTING PPDR COMMUNICATION SYSTEMS

The capabilities of various technologies for PPDR communications have been detailed below-

3.3.1 Technologies Evaluated/Recommended By ITU:

The following are the technologies for PPDR operations/communication recommended by ITU in its ITU-R Recommendation M.2009⁷ and are in use across the world. The important features along with the technical parameters are discussed in detail for each technology, as follows: -

3.3.1.1 DMR (Digital Mobile Radio)

- a) It is a digital standard developed for PMR (Professional/Private Mobile Radio) by ETSI
- b) It operates in frequency bands of VHF (137-174MHz) and UHF (406-470MHz) bands.
- c) Uses 12.5 KHz spaced carrier to send two TDMA slots.
- d) Data rates up to 9.6 kbps can be achieved.
- e) Latency time ranges around 100msec.
- f) Coverage area is around 40km.
- g) ETSI standard for DMR provides for 3 different tiers of radio communication systems:
 - **Tier-1:** These products were intended to operate in license-free European 446MHz band for an immediate change from the then existing Analog MPT 1327 (An industry standard by British Ministry of Post & Telegraph) systems. However, there had been no commercial launches of these products as on date.
 - **Tier-2:** These products are conventional radio systems, mobiles and hand portables operating in the above specified frequency bands. DMR Tier-2 products are available in the global market.
 - **Tier-3:** These products are trunked radio systems operating in the above specified frequency bands. It supports features similar to TETRA. Tier-3

- compliant products were launched in 2012.
- h) The DMR market is very limited as on date due to few equipment producers.
- i) **India specific comments:** The products based on this technology can operate in earmarked 400MHz PPDR band in India. The frequency band of VHF (137-174MHz) is not allotted for PPDR in India.

3.3.1.2 TETRA (TErrestrial Trunked RAdio)

- a) It is an open ETSI standard developed for digital PMR.
- b) Initially termed as "Trans-European Trunked Radio" System. However, the system now being used beyond Europe, it was renamed as "Terrestrial Trunked Radio" systems.
- c) It is operated in both 400 and 800MHz frequency bands.
- d) Uses TDMA with carrier spacing of 25 KHz and four slots per carrier or traditional FDMA with 12.5 KHz carrier spacing.
- e) Two versions of TETRA are TETRA Release1 and TETRA Release2 :-

TETRA Release1

- (i) It was first published by ETSI in 1995.
- (ii) Modulation technique used is Differential Quadrature Phase Shift Keying (DQPSK)
- (iii) Data rates up to 7.2kbps per voice channel can be achieved and up to 28.8kbps also, if multi-slot operation is used.
- (iv) Latency time is around 250 msec.
- (v) Practical cell radius achievable is 6 to 8kms. Theoretical (ideal) coverage range possible is 58kms.
- (vi) In India, following are some of the agencies using TETRA Release1:
 - Military College of Telecommunication Engineering (MCTE) for Indian Army - in use since 2004 at Mhow, Indore, Madhya Pradesh.
 - Delhi Metro Rail Corporation Ltd for transport First TETRA in India- in use since 2002.
 - Mumbai Mono rail, Mumbai Metropolitan Regional Authority for Mass transport (India's first monorail project) - from 2010.
 - Kerala Police TETRA with Automatic Dial 100 (AD100) since

- 2008 in Trivandrum city.
- TamilNadu police for Police and Internal Security safety from 2011.
- Delhi Government for Secure Communication network since 2010 (used by various departments under Govt of Delhi and Delhi police).
- Gas Authority of India Limited (GAIL) for Gas Pipeline safety,
 Telemetry and security from 2011.
- Bangalore Metro Corporation Limited (BMRCL) for transport–since March 2011.
- Gurgaon Police TETRA with Automatic Dial 100 (AD100) since 2009 (and since 2011 in Salem city).

TETRA Release 2 (an upgrade to TETRA Release 1)

- (i) ETSI and TETRA Association at the end of 2005 develop it.
- (ii) Following are the updates to the earlier version: -
 - Trunked mode operation Range Extension to 83kms (exclusively Air-Ground- Air applications) from 58kms (theoretical) by modifying the uplink and downlink bursts and guard times.
 - Use of Adaptive Multiple Rate (AMR) voice codec for a particular voice + data mode.
 - Use of Mixed Excitation Linear Predictive enhanced (MELPe) voice
 Codec where military network interface is required.
 - Introduction of TETRA Enhanced Data Services (TEDS)
 - TEDS is fully backward compatible with TETRA Release1.
 - Data rates ranging from 15.6kbps to 269kbps can be achieved using scalable bandwidths and various modulation schemes (DQPSK, D8PSK, 4QAM, 16QAM, and 64QAM).
 - Coverage area is same as of TETRA release1.
 - Latency time is around 200msec.

3.3.1.3 APCO-25 (Association of Public-safety Communication Officials International-25) or P25 (Project25)

a) It is a suite of standards established in October 1989, standardized under the US Telecommunications Industry Association (TIA), and developed

- exclusively for Land Mobile Radios (LMR) for local, state/provincial and national public safety organization and agencies in North America.
- b) It is equivalent to TETRA but both are not interoperable.
- c) It operates in VHF (136-174MHz) and UHF (403-512MHz, 806-870MHz) bands.
- d) Latency time ranges around 250msec.
- e) Common Air Interface (CAI) standard is most widely deployed interface enabling interoperability between P25 radios and infrastructure irrespective of the manufacturer.
- f) These radios can communicate in analog mode with non-P25 radios and in analog and digital mode with P25 radios.
- g) Being deployed in several phases as follows:-
 - Phase-1 operates in 12.5 KHz analog, digital or mixed mode using FDMA method. It provides 9.6kbps air link rate using Continuous 4-level FM (C4FM) or Continuous QPSK (CQPSK) modulation. Vendors are currently shipping Phase-I compliant systems.
 - **Phase-2** uses a 2 slot TDMA with 12.5 KHz bandwidth and 12kbps air link rate using Harmonized continuous phase modulation (H-CPM) and Harmonized Differential Quadrature Phase Shift Keying (H-DQPSK) schemes.
 - **Phase-3** tried to address the need for high speed data for public-safety use. But due to lack of interest shown by the industries, this project was closed in 2010. Project MESA (Mobility for Emergency and Safety Applications), another name for this phase, is a collaborative working of ETSI and TIA.
- h) Phase-2 of APCO-25 can be deployed in India in the above earmarked UHF band for PPDR operations.

3.3.2 Other Technologies In Use

The following are the other technologies in use for PPDR communications in different parts of the world. The important features and parameters are discussed below -

3.3.2.1 TETRAPOL

- a) It is a standard for digital cellular trunked radio systems developed by MATRA NORTEL communications in France, and later supported by TETRAPOL forum (predominantly manufacturers) and TETRAPOL users club (user organizations).
- b) It operates in 400MHz and 800MHz bands with latency times ranging around 250msec.
- c) Channel access method used is FDMA with Gaussian Minimum Shift Keying (GMSK).
- d) TETRAPOL terminals operate in semi-duplex mode with one channel per carrier, where separation between carriers is 12.5 KHz.
- e) Maximum data rate achieved is 7.2kbps (without encryption).
- f) Theoretical cell radii that can be achieved is 28km. Practical achievable cell radii is 20km for rural areas and 6km for sub-urban areas.
- g) In Switzerland, TETRAPOL digital trunked radio technology has been chosen for the new national emergency radio network, POLYCOM.
- h) As it is a technology not standardized by international bodies and also being based on traditional FDMA access scheme, it might not be a better option suited for PPDR communications in Indian environment.

3.3.2.2 Amateur Radio (Ham Radio)

- a) ITU through the International Telecommunication Regulations establishes the Amateur Radio Services whereas these Amateur Radios are represented and coordinated by the International Amateur Radio Union (IARU).
- b) The two common modes used for voice transmission are Frequency Modulation (FM-provides high quality signals) and Single Side Band (SSB) modulation (used for long distance communication when bandwidth

is restricted).

- c) Amateur radios are often used to provide essential communication services when regular channels are unavailable due to disasters.
- d) Amateur radio operators have to pass an examination conducted by the Ministry of Communications, Govt. of India to obtain the license for operating radio stations in India.
- e) Amateur radios are already being used in India, now and then, during disasters.
- f) Table 3.1 shows some of the frequency bands used for amateur radio services in India and their maximum transmitted power, maximum bandwidth and modes of operation.

| Range | Wavelength | Frequency Band (MHz) | Max. Tx Power (W) | Modes of operation | Max Bandwidth (KHz) |
|-------|------------|-------------------------|----------------------|--------------------|------------------------|
| MF | 160 m | 1.800-2.000 | 1500 | CW, Data, SSB | 3.0 |
| | 80/75 m | 3.500-3.750 | 1500 | CW, Data | 0.2, 0.5, 3.0 |
| HF | 80/75 m | 3.750-3.900 | 1500 | SSB | 3.0 |
| | 40 m | 7.000-7.150 | 1500 | CW, Data | 0.2, 0.5, 3.0 |
| | 40 m | 7.150-7.200 | 1500 | SSB | 3.0 |
| | 2 m | 144.000-144.100 | 200 | CW, Data | 100 |
| VHF | 2 m | 144.100-144.300 | 200 | SSB | 100 |
| | 2 m | 144.300-144.500 | 200 | Satellite | 100 |
| | 2 m | 145.800-146.000 | 200 | Satellite | 100 |
| UHF | 70cm | 430.000-440.000 | 100 | FM, SSB | 100 (data), 6000 (VSB) |
| CHE | 9 cm | 3300-3500 | 1.0 | Beacons | 100 (data), 6000 (VSB) |
| SHF | 5 cm | 5650-5850 | 1.0 | Beacons | 100 (data), 6000 (VSB) |
| EHF | 4 mm | 76000-81000 | 1.0 | All modes | 100 (data), 6000 (VSB) |

Table 3.1: Table shows some of the frequency bands used for Amateur radio services in India and their maximum transmitted power, modes of operation and maximum bandwidth respectively

3.3.2.3 SATCOM (SATellite COMmunications)

Space technology has evolved into an invaluable asset in disaster management. Satellite communication channels often end up being the only mode of communication. e.g.:- Accurate advanced warning and tracking of cyclone Phailin saved countless lives recently. The LEO, MEO and GEO based satellite access systems are used for the purpose of PPDR.

The technical parameters are described below -

- a) SATCOM systems operate in L (1 to 2GHz) and S (2 to 4GHz) bands. VSAT (Very Small Aperture Terminals) terminals in C (4 to 8GHz), X (8-12GHz), Ku (12 to 18GHz) and Ka (26 to 40GHz) bands are used for backhauling applications.
- b) Main advantage is its use in remote and rural areas where terrestrial communication gets damaged or does not exist.
- c) Interoperability is good in terms of PSTN connectivity but poor between different SATCOM providers and with other terrestrial networks like TETRA.
- d) Network congestion for high number of users is a drawback since a large coverage is provided but with relatively few voice channels.
- e) Lack of "Direct mode" capability is another drawback.
- f) Latency is above 250msec for GEO satellites (due to large single-hop delay) whereas for LEO/MEO satellites, maximum delay is around 100msec.
- g) Data bandwidths are also limited to maximum of 492 kbps on S-band. Nevertheless, higher data rates (upto 60 Mbps) can be achieved using next generation Ka-Band (26.5 to 40GHz) satellites such as Inmarsat's Global Xpress.
- h) Transmission of real-time high-definition video (which is necessary for some scenarios) cannot be provided with SATCOM links.

Satellite phones

In India, International Long Distance (ILD) license is required for operating the satellite- based mobile services, which requires a gateway to be established in India. Currently only Inmarsat services are permitted in India. No Objection Certificate (NOC) issued by DoT is mandatory for using satellite phones.

However, the major satellite phone systems in use around the world are as follows

a) **Iridium:** - This system uses 66 satellites orbiting in low earth orbit at an altitude of around 485miles above the earth's surface with an orbiting time

of around 100mins. The satellites are able to communicate with the ground as well as neighboring satellites through inter-satellite links. This system uses 4 earth stations.

- b) **Globalstar:-** This system uses 44 satellites rotating in orbits having an altitude of 878miles above the earth's surface with an orbiting time of around 2hrs. This system does not support inter-satellite links thereby not supporting hand-overs. It also cannot provide coverage where there are no earth stations.
- c) **Inmarsat:-** It is the oldest satellite phone system founded in 1979. It originally provided large fixed installations for ships. It provides global coverage, except at polar regions, using eleven satellites.
- d) **Thuraya:-** This system provides coverage in some areas within Africa, Europe, Asia, Australia and Middle East. It uses a single geostationary satellite and provides service through a network of service providers. Thuraya handsets support dual mode operation i.e in addition to supporting satellite networks, they can also connect to GSM900 networks

3.4 LIMITATIONS IN EXISTING PPDR COMMUNICATION SYSTEM

Voice is the primary mode of communication within Public Protection & Disaster Relief (PPDR) agencies, which is provided by dedicated PPDR communication networks such as TETRA, APCO 25 or DMR etc. Until now, PPDR agencies (e.g. Police, Fire brigades, Medical emergencies, etc.) rely on these narrow band LMR (Land Mobile Radio) systems, in the field for day to day operations. The LMR communication networks provide reliable and resilient mobile voice services, as well as basic data services. However, these traditional communication networks show substantial limitations, when matched against modern requirements of PPDR agencies.

Some of the basic limitations are listed below.

a) Limitations of existing Narrowband PPDR networks: While current PPDR networks are designed to meet the unique needs and priority access requirements, they carry voice, basic data and do not provide data capabilities for enhanced messaging, images and video-based functionality that is becoming an essential requirement for the First Responders. Existing PPDR communication networks

cannot be enhanced for enriched content due to the inherent limitation of narrowband technologies.

- b) **Interoperability among various PPDR agencies:** The ability to communicate and share information between PPDR agencies is prevented due to individually adopted proprietary technologies, non-harmonized spectrum channels, incompatible standards and protocols by the respective agencies.
- c) **Inefficient use of Spectrum:** For their PPDR operations, each agency uses different technologies and spectrum that is not harmonized. This framework has resulted in fragmented spectrum assignments with inefficient use of precious and prime sub-GHz frequency. Even though large amount of narrowband costly spectrum is used, it does not meet the evolving needs of the PPDR agencies.

Currently, Indian PPDR agencies rely on narrow-band digital trunking technology like TETRA and P25 systems or old analog systems for their communication in the field, which are primarily meant for voice communication. The PPDR communication networks are at present designed and run by independent state agencies. The PPDR agencies so far are issued license by Department of Telecommunications (DoT) under Captive Mobile Radio Trunking Service (CMRTS) category. Accordingly, Wireless Planning & Coordination Wing (WPC Wing) of DoT allocates spectrum in the designated 300 MHz, 400 MHz or 800 MHz bands. (Please refer clause 1.5)

The current framework has resulted in fragmented spectrum assignments with inefficient use of precious and prime sub-GHz frequency. Despite consuming large amounts of costly spectrum, it does not meet the evolving needs of the public safety and emergency communication such as access to instant messaging, high-quality images and video, mapping and location services, remote control of robots, and other applications. Moreover, individual PPDR agencies have their independent networks in place, which work in silos. This results in inability to have seamless communication and information sharing among the PPDR agencies. This is because their networks are either not inter- operable or they are just not compatible with each other. This deprives the agencies of instant cross-agency coordination and exchange of mission-critical information, which eventually results in ineffective mitigation of safety and disaster situation.

To overcome the limitations of current PPDR communication networks, next generation PPDR communication networks should be deployed with enhanced broadband capabilities, under a unified framework and comprehensive policy. Broadband PPDR (BB-PPDR) using LTE is the optimal choice for an integrated PPDR network providing cutting-edge services standardized by 3GPP in Rel. 12, 13 and 14. LTE broadband trunking, featuring large bandwidths, high data-rates, and IP-based operation, supporting multimedia communication including eMBMS (video) to/from disaster site, is becoming the mainstream in the market, ushering an era of LTE-based public-safety networks.

In view of above, TRAI has recommended setting up a Pan-India integrated Broadband PPDR (BB-PPDR) communication network (to be called "National BB-PPDR Network") based on 3GPP PS-LTE technology, via a hybrid approach using dedicated network in some parts while sharing commercial network in the rest.

3.5 OUR APPROACH

The goal of this project is to provide a Droned-Based 4G Communication system, which is quick and easy to establish and can be mobilized easily in a short duration of time. The main aim of the proposed system is to provide the Public Protection and Disaster Relief (PPDR) Agencies, Military/ Para-military/ medical team favorable working environment to carry out their intended relief work, with full communication connectivity.

The proposed setup will have multi-forum applicability not only for public protection and disaster relief duties, but in military tactical operations, communication system for military installation where normal mobile services are not available.

The proposed system will cater for the communication in PPDR activities as well as for defence applications. It can also be utilized as an ad-hoc mobile network being set up for particular event, or task or for temporary halts at a place.

3.6 UNIQUENESS OF THE PROPOSED PROJECT MODEL

a) The Indian PPDR agencies use narrow band digital trunking technology like TETRA and P25 systems which are primarily meant for voice communications, which are run by independent state agencies. This results in inability to have seamless communication interoperability and information sharing amongst the relief agencies/ workers.

- b) Being independent of one another, the communication devices of the different agencies are not interoperable, or compatible to one another.
- c) Major Uniqueness of the proposed solution is enumerated below:-
 - (i) Drone-based portable eNodeB stations to provide extended coverage range of communication.
 - (ii) Voice, video and data capability using commercial 4G handsets.
 - (iii) Adequate power supply via tethered drone.
 - (iv) Only authorized PPDR workers can connect to the communication network.
 - (v) Wide range of applicability in addition to PPDR activities; such as tactical operations and temporary communication setup (hotspot coverage during large religious festivals, rallies etc).

CHAPTER 4

LTE LITERATURE SURVEY

4.1 INTRODUCTION

Long Term Evolution (LTE) is the name given to a 3GPP (3rd Generation Partnership Project) concerning UTRAN (Universal Terrestrial Radio Access Network) evolution to meet the needs of future broadband cellular communications. This project can also be considered as a milestone towards 4G (Fourth Generation) standardization. It is Long Term Evolution of the Universal Mobile Telecommunications System (UMTS).

The Long Term Evolution standard for advanced cellular communications is an emerging standard being embraced by commercial carriers. It holds the promise of an interoperable network based on non-proprietary, commercially available technology.

LTE embodies a vision of wireless access that assumes a whole-sale transition towards a packet switched only system that is distinctly non-hierarchical, and which makes wide use of Internet Engineering Task Force (IETF) protocols and practices. LTE is further designed to be interoperable with legacy UMTS systems and offer support for seamless mobility through non-3GPP wireless accesses including WiMAX, Wi-Fi.

The LTE access network incorporates state-of-the-art air interface technologies including OFDMA (Orthogonal Frequency Division Multiple Access) and advanced antenna techniques to maximize the efficient use of RF spectrum. It also accommodates several options for frequency bands, carrier bandwidths and duplexing techniques in order to effectively utilize the different portions of unused spectrum available in different countries and geographies. Additionally, and significantly, the LTE network architecture evolves to all-IP architecture, enabling the seamless delivery of applications and services over what were previously two separate and distinct networks, while QoS options which allow for real time packet data services like VoIP and live video streaming.

As a wireless network which improves spectral efficiency, simplifies deployment of all-IP real-time services, facilitates integration with non-wireless networks and supports interworking with legacy wireless technologies, LTE is strongly positioned to lead the evolution in the communications industry for several years. It achieves all of these things through a flat, scalable architecture which is designed to manage and maintain QoS in a mobile environment.

The fine granularity (180 KHz Resource Block times 1millisecond Transmission

Time Interval) afforded by LTE allows for packing efficiency and exploitation of time/frequency channel selectivity through opportunistic scheduling, thus enabling higher user throughputs. Thus packet scheduling is an important aspect of LTE.

4.2 TECHNOLOGY USED

The requirements set for LTE specified in envisage high peak data rates, low latency, increased spectral efficiency, scalable bandwidth, flat all-IP network architecture, optimized performance for mobile speed, etc. In order to fulfill this extensive range of requirements several key technologies have been considered for LTE radio interface of which the most important are briefly describe in succeeding paras.

4.3 ORTHOGONAL FREQUENCY DIVISION MULTIPLEX

The LTE radio interface is based on the frequency division multiplexing technique. Orthogonal Frequency Division Multiplex (OFDMA) is used in the downlink direction whereas Single Carrier Frequency Division Multiple Access (SC- FDMA) is used in the uplink direction.

Its advantage lies in dealing with frequency selective fading and inter-symbol interference with high spectral efficiency, whereas its disadvantage is high peak to average power ratio as well as sensitivity to Doppler shift and to frequency synchronization.

OFDM also provides some additional benefits relevant for LTE:

- a) OFDM provides access to the frequency domain, thereby enabling an additional degree of freedom to the channel-dependent scheduler compared to time-domain-only scheduling used in major 3G systems.
- b) Flexible transmission bandwidth to support operation in spectrum allocations of different size is straight forward with OFDM, at least from a baseband perspective, by varying the number of OFDM subcarriers used for transmission.

4.4 FREQUENCY DIVISION DUPLEX AND TIME DIVISION DUPLEX

LTE supports both FDD(Frequency Division Duplex) and TDD (Time Division Duplex). In FDD mode, the uplink and downlink txmn happens in separate freq bands, whereas the TDD mode uses timeslots of the same freq band for downlink and uplink

txmn. The freq band varies between 1.4 and 20 Mhz.

Modulation Schemes used for uplink and downlink are QPSK, QAM-16 & QAM-64.

4.5 MULTI-ANTENNA CONFIGURATIONS

Multi-antenna techniques can be seen as a joint name for a set of techniques with the common theme that they rely on the use of multiple antennas at the receiver and/or the transmitter, in combination with more or less advanced signal processing. Multi-antenna techniques can be used to achieve improved system performance, including improved system capacity (more users per cell) and improved coverage (possibility for larger cells), as well as improved service provisioning – for example, higher per-user data rates.

The availability of multiple antennas at the transmitter and/or the receiver can be utilized in different ways to achieve different aims. Multiple antennas at the transmitter and/or the receiver can be used to provide additional diversity against fading on the radio channel. In this case, the channels experienced by the different antennas should have low mutual correlation, implying the need for a sufficiently large inter-antenna distance (spatial diversity), or the use of different antenna polarization directions (polarization diversity).

Multiple antennas at the transmitter and/or the receiver can be used to "shape" the overall antenna beam (transmit beam and receive beam respectively) in a certain way – for example, to maximize the overall antenna gain in the direction of the target receiver/transmitter or to suppress specific dominant interfering signals.

The simultaneous availability of multiple antennas at the transmitter and the receiver can be used to create what can be seen as multiple parallel communication "channels" over the radio interface. This provides the possibility for very high bandwidth utilization without a corresponding reduction in power efficiency or, in other words, the possibility for very high data rates within a limited bandwidth without a disproportionately large degradation in terms of coverage. This is called spatial multiplexing often also referred to as MIMO (Multi-Input Multi-Output) antenna processing.

4.6 LTE ARCHITECTURE

In parallel to the work on the LTE radio-access technology in 3GPP, the overall system architecture of both the Radio-Access Network (RAN) and the Core Network (CN) was revisited, including the split of functionality between the two network parts. This work was known as the System Architecture Evolution (SAE) and resulted in a flat RAN

architecture, as well as a new core network architecture referred to as the Evolved Packet Core (EPC). Together, the LTE RAN and the EPC can be referred to as the Evolved Packet System (EPS). LTE uses a simplified flat network infrastructure that consists of only two nodes: the enhanced NodeB (eNB) and the mobile management entity/serving gateway (MME/S-GW). This is also one of the main factors that LTE can achieve a reduced latency compared to UMTS/HSPA.

The LTE radio access network consists of the enhanced NodeBs (eNB). The eNBs are connected with each other through the X2 interface and with the EPC through the S1 interface. An eNB may be served by more than one MME .The RAN is responsible for all radio-related functionality of the overall network including, for example, scheduling, radio-resource handling, retransmission protocols, coding and various multi antenna schemes.

The EPC consists of one control plane, which is called Mobility Management Entity (MME) and two user plane nodes, which are called serving gateway (S-GW) and Packet Data Network Gateway (PDN-GW). It is responsible for functions not related to the radio interface but needed for providing a complete mobile-broadband network. This includes, for example, authentication, charging functionality, and setup of end-to-end connections. Handling these functions separately, instead of integrating them into the RAN, is beneficial as it allows for several radio-access technologies to be served by the same core network.

The main components of an LTE network include eNodeB (eNB) and different User Equipments (UEs). eNB is used to control the network core using different standard protocols.

4G LTE employs Physical Resource Blocks (PRB) to transmit resources. PRBs is composed of frequency and time domain phases. eNB has got a specific amount of PRBs based on the assigned bandwidth, it also has the responsibility to distribute these PRBs constantly at each Transmission Time Interval (TTI).

General packet scheduling can be employed by the network operator in the UEs and eNBs in either the uplink or downlink. The main issue is that there is no firm provisions that are set by the 3GPP for controlling the packet scheduling mechanisms and thus it's an extensive area of research.

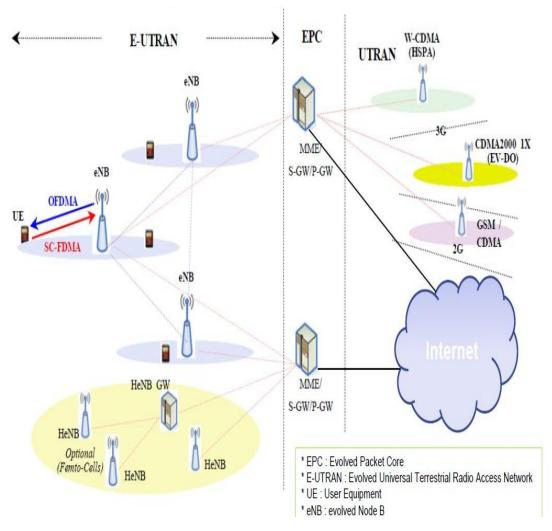


Fig 4.1: LTE Network EUTRAN

4.7 LTE NODES

- **1.** <u>eNodeB The Single E-UTRAN Node</u>. The E-UTRAN OFDM-based structure is quite simple. It is only composed of one network element the eNodeB (evolved Node B). The 3G RNC (Radio Network Controller), inherited from the 2G BSC (Base Station Controller). has disappeared from E-UTRAN and the eNodeB is directly connected to the Core Network using the S1 interface. As a consequence, the features supported by the RNC have been distributed between the eNodeB or the Core Network MME or Serving Gateway entities.
- The X2 Interface. A new interface (X2) has been defined between eNodeB, working in a meshed way (meaning that all Node Bs may possibly be linked together). The main purpose of this interface is to minimize packet loss due to user mobility. As the terminal moves across the access network, unsent or unacknowledged packets stored in the old eNodeB queues can be forwarded or tunneled to the new eNodeB thanks to the X2 interface. From a high-level perspective, the new E-UTRAN architecture is actually moving towards WLAN network structures and Wifi or WiMAX Base Stations.
- **3.** eNodeB Functionalities are.Header compression and user plane ciphering
 - a) MME selection when no routing to an MME can be determined from the information provided by the UE
 - b) UL bearer level rate enforcement based on UE-AMBR and MBR via means of uplink scheduling (e.g., by limiting the amount of UL resources granted per UE over time)
 - c) DL bearer level rate enforcement based on UE-AMBR
 - d) UL and DL bearer level admission control
 - e) Transport level packet marking in the uplink, e.g. setting the DiffServ Code Point, based on the QCI of the associated EPS bearer
 - f) ECN-based congestion control
- 4. P-GW. The PDN Gateway is responsible for IP address allocation for the UE, as well as QoS enforcement and flow-based charging according to rules from the PCRF. It is responsible for the filtering of downlink user IP packets into the different QoS-based bearers. This is performed based on Traffic Flow Templates (TFTs). The P-GW performs QoS enforcement for guaranteed bit rate (GBR) bearers. It also serves as the mobility anchor for interworking with non-3GPP technologies such as CDMA2000 and WiMAX networks.
- **5. S-GW.** All user IP packets are transferred through the Serving Gateway,

which serves as the local mobility anchor for the data bearers when the UE moves between eNodeBs. It also retains the information about the bearers when the UE is in the idle.

- 6. MME. The Mobility Management Entity (MME) is the control node that processes the signaling between the UE and the CN. The protocols running between the UE and the CN are known as the Non Access Stratum (NAS) protocols.
- 7. PCRF. The Policy Control and Charging Rules Function is responsible for policy control decision-making, as well as for controlling the flow-based charging functionalities in the Policy Control Enforcement Function (PCEF), which resides in the PGW. The PCRF provides the QoS authorization (QoS class identifier [QCI] and bit rates) that decides how a certain data flow will be treated in the PCEF and ensures that this is in accordance with the user's subscription profile.
- **HSS.** The Home Subscriber Server contains users' SAE subscription data such as the EPS-subscribed QoS profile and any access restrictions for roaming. It also holds information about the PDNs to which the user can connect. This could be in the form of an Access Point Name (APN) (which is a label according to DNS naming conventions describing the access point to the PDN) or a PDN address (indicating subscribed IP address(es). In addition the HSS holds dynamic information such as the identity of the MME to which the user is currently attached or registered. The HSS may also integrate the Authentication Center (AUC), which generates the vectors for authentication and security keys.

4.8 COMMON OPEN SOURCE LTE/4G PLATFORM

4.8.1 OPEN AIR INTERFACE 4G/5G

OpenAirInterfaceTM (OAI) wireless technology platform is a flexible platform towards an open LTE ecosystem. The platform offers an open-source software-based implementation of the LTE system spanning the full protocol stack of 3GPP standard both in E-UTRAN and EPC. It can be used to build and customize a LTE base station (OAI eNB), a user equipment (OAI UE) and a core network (OAI EPC) on a PC. The OAI eNB can be connected either to a commercial UEs or OAI UEs to test different configurations and network setups and monitor the network and mobile device in real-time. In addition, OAI UE can be connected to eNB test equipment

(CMW500) and some trials have been successively run with commercial eNB in December 2016.

OAI is based on a PC hosted software radio frontend architecture. With OAI, the transceiver functionality is realized via a software radio front end connected to a host computer for processing. OAI is written in standard C for several real-time Linux variants optimized for IntelTM x86 and ARMTM processors and released as free software under the OAI License Model. OAI provides a rich development environment with a range of built-in tools such as highly realistic emulation modes, soft monitoring and debugging tools, protocol analyzer, performance profiler, and configurable logging system for all layers and channels.

Towards building an open cellular ecosystem for flexible and low-cost 4G/5G deployment and experimentations, OAI aims at the following objectives:

- **1.** Open and integrated development environment under the control of the experimenters;
- 2. On the network side: Fully software-based network functions offering flexibility to architect, instantiate, and reconfigure the network components (at the edge, core, or cloud using the same or different addressing space);
- 3. On UE side: Fully software-based UE functions which can be used by modem designers with upgrading and/or developing LTE and 5G advanced features
- **4.** Playground for commercial handsets as well as application, service, and content providers;
- 5. Rapid prototyping of 3GPP compliant and non-compliant use-cases as well as new concepts towards 5G systems ranging from M2M/IoT and software-defined networking to cloud-RAN and massive MIMO.

Software Platform

Currently, the OAI platform includes a full software implementation of 4th generation mobile cellular systems compliant with 3GPP LTE standards in C under real-time Linux optimized for x86. At the Physical layer, it provides the following features:

- **1.** LTE release 8.6 compliant, with a subset of release 10;
- **2.** FDD and TDD configurations in 5, 10, and 20 MHz bandwidth;
- **3.** Transmission mode: 1 (SISO), and 2, 4, 5, and 6 (MIMO 2×2);
- 4. CQI/PMI reporting;

- **5.** All DL channels are supported: PSS, SSS, PBCH, PCFICH, PHICH, PDCCH, PDSCH, PMCH;
- **6.** All UL channels are supported: PRACH, PUSCH, PUCCH, SRS, DRS;
- **7.** HARQ support (UL and DL);
- **&** Highly optimized base band processing (including turbo decoder). With AVX2 optimization, a full software solution would fit with an average of 1×86 core per eNB instance (64QAM in downlink, 16QAM in uplink, 20MHz, SISO).

For the E-UTRAN protocol stack, it provides:

- 1. LTE release 8.6 compliant and a subset of release 10 features;
- 2. Implements the MAC, RLC, PDCP and RRC layers;
- **3.** protocol service for all Rel8 Channels and Rel10 eMBMS (MCH, MCCH, MTCH);
- **4.** Channel-aware proportional fair scheduling;
- **5.** Fully reconfigurable protocol stack;
- **6.** Integrity check and encryption using the AES and Sonw3G algorithms;
- 7. Support of RRC measurement with measurement gap;
- **8.** Standard S1AP and GTP-U interfaces to the Core Network;
- 9. IPv4 and IPv6 support.Evolved packet core network features:
- **1.** MME, SGW, PGW and HSS implementations. OAI reuses standards compliant stacks of GTPv1u and GTPv2c application protocols from the open-source software implementa- tion of EPC called nwEPC;
- 2. NAS integrity and encryption using the AES and Snow3G algorithms;
- **3.** UE procedures handling: attach, authentication, service access, radio bearer establishment;
- **4.** Transparent access to the IP network (no external Serving Gateway nor PDN Gateway are necessary). Configurable access point name, IP range, DNS and E-RAB QoS;
- **5.** IPv4 and IPv6 support.

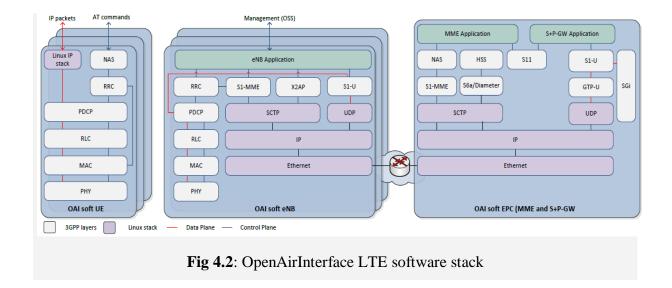


Figure 4.2 shows a schematic of the implemented LTE protocol stack in OAI. OAI can be used in the context of a rich software development environment including Aeroflex-Geisler LEON / GRLIB, RTOS either RTAI or RT-PREEMPT, Linux, GNU, Wireshark, control and monitoring tools, message and time analyser, low level logging system, traffic generator, profiling tools and soft scope. It also provide tools for protocol validation, performance evaluation and pre-deployment system test. Several interoperability tests have been successfully performed:

- **1.** OAI eNB with the commercial LTE-enabled mobile devices, namely Huawei E392, E398u-1, Bandrich 500 as well as with commercial 3rd party EPC prototypes.
- **2.** OAI-UE with test equipment CMW500 and commercial enodeB (Ericsson on com4Innov network) with commercial EPC.
- **3.** OAI platform can be used in several different configurations involving commercial components to varying degrees:
- **4.** Commercial UE ↔ Commercial eNB + OAI EPC
- 5. Commercial UE \leftrightarrow OAI eNB + Commercial EPC
- **6.** Commercial UE \leftrightarrow OAI eNB + OAI EPC
- 7. $OAI UE \leftrightarrow OAI eNB + OAI EPC$
- **8.** $OAIUE \leftrightarrow OAIeNB + Commercial EPC$
- **9.** $OAIUE \leftrightarrow Commercial eNB + Commercial EPC$

Hardware Platform

OAI is designed to be agnostic to the hardware RF platforms. It can be interfaced with 3rd party SDR RF platforms without significant effort. At present, OAI officially supports the following hardware platforms.

- 1. EURECOMTM EXMIMO2: The the default software radio frontend for OAI is ExpressMIMO2 PCI Express (PCIe) board. This board features 4 high-quality RF chipsets from Lime Micro Systems (LMS6002), which are LTE-grade MIMO RF front-ends for small cell eNBs. It supports stand-alone operation at low-power levels (maximum 0 dBm transmit power per channel) simply by connecting an antenna to the board. RF equipment can be configured for both TDD or FDD operation with channel bandwidths up to 20 MHz covering a very large part of the available RF spectrum (250 MHz-3.8 GHz) and a subset of LTE MIMO transmission modes.
- 2. USRP X-series/B-Series: OAI also supports the Ettus <u>USRP B-series</u> and <u>X-series</u> products via Ettus UHD Driver.

4.8.2 OpenLTE

OpenLTE is an open source implementation of the 3GPP LTE specifications. In the current version, it includes an eNodeB with a built-in simple Evolved Packet Core, and some tools for scanning and recording LTE signals based on GNU Radio

Currently, octave code is available for test and simulation of downlink transmit and receive functionality and uplink PRACH transmit and receive functionality. In addition, GNU Radio applications are available for downlink transmit and receive to and from a file, downlink receive using rtl-SDR, HackRF, or USRP B2X0, LTE I/Q file recording using rtl-SDR, HackRF, or USRP B2X0, and a simple eNodeB using USRP B2X0. The current focus is on extending the capabilities of the GNU Radio applications and adding capabilities to the simple base station application (LTE_fdd_enodeb).

The hardware and software configurations are similar to that of the Open Air Interface.

4.8.3 srsLTE

srsLTE is an open source library for the PHY layer of LTE Release 8. It is designed for maximum modularity and code reuse with minimal inter-module or external dependencies.

The code is written in ANSI C and makes extensive use of Single Instruction Multiple Data (SIMD) operations, when available, for maximum performance. In terms of hardware, the library deals with buffers of samples in system memory thus being able to work with any RF front-end. It currently provides interfaces to the Universal Hardware Driver (UHD), giving support to the Ettus USRP family of devices. The aim of the library is providing the tools to build LTE-based applications such as a complete eNodeB or UE, an LTE sniffer or a network performance analyser. The current features provided by the library are:

- 1. LTE Release 8 compliant in FDD configuration
- 2 Supported bandwidths: 1.4, 3, 5, 10 and 20 MHz
- **3.** Transmission mode 1 (single antenna) and 2 (transmit diversity)
- 4. Cell search and synchronization procedure for the UE
- **5.** All DL channels/signals are supported for UE and eNodeB side: PSS, SSS, PBCH, PCFICH, PHICH, PDCCH, PDSCH
- **6.** All UL channels/signals are supported for UE side: PRACH, PUSCH, PUCCH, SRS;
- 7. Highly optimized turbo decoder available in Intel SSE4.1/AVX (+100 Mbps) and standard C (+25 Mbps)
- **&** MATLAB and OCTAVE MEX library generation for many components.

The modular library approach allows researchers to easily customize, improve or completely replace components without affecting the rest of the code. Modules are organized hierarchically in the following categories, as illustrated in **Fig.4.3**.

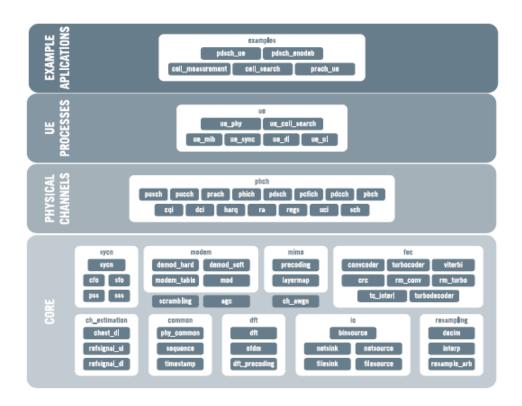


Fig 4.3: srsLTE Architecture

- 1. Core: The core modules are the main building blocks used within the PHY layer. In this category we find the turbo and convolutional coders and decoders, modulator and demodulator, synchronization, channel estimation and reference signal generation, OFDM and SC-FDMA processing and so forth.
- **2. Physical Channels**: There is one module for each uplink and downlink channel (e.g. PDSCH, PUSCH, PDCCH, PUCCH, etc). Each module uses the core building blocks to implement the signal processing required to convert bits into samples ready to send to the digital converter and vice versa. Some physical channels share some functionality, which is implemented in common auxiliary modules, e.g., PUSCH and PDSCH share many processing functions which are defined in the module SCH.
- **3. UE Processes**: The UE processes implement the physical channel procedures for uplink and downlink making use of the physical channel modules.

4. Example Applications: On top of the hierarchy we find a number of examples showing how to use the library through the UE processes modules. Among others, these examples include a PDSCH transmitter and receiver application and cell search examples.

CHAPTER 5

SOFTWARE DEFINED RADIOS AND SINGLE BOARD COMPUTERS

5.1 INTRODUCTION

This chapter will deal with the two of the most important hardware component which are going to be used for the development of the proposed 4G/LTE network. They are the Small Form Factor computers or Single Board Computers and the Software Defined Radios. Though each and every hardware components are almost equally important, emphasis has been given to these two for their overall role in the system and is further discussed in the following sub-paragraphs.

5.2 SINGLE BOARD COMPUTERS (SBC)

Single board computers (SBCs), such as the Raspberry Pi, are small computing devices that can be used for a variety of purposes that include experimentation, learning how to program, building a media player or NAS drive, robotics and home automation, and performing computing tasks such as web browsing or word processing. SBCs are also increasingly used for a wide range of industrial applications in areas that include robotics and the Internet of things (IoT). A single-board computer (SBC) is built on a single circuit board and contains functional computer components including the microprocessor, input/output (I/O) and memory. SBC computers typically provide a fan-less, low-power computing solution and low profile architecture. Right from the mobile phone in our pockets to high end gaming consoles, including tablets, PCs, iPod, etc, everything is basically a single board computer.

There is a difference between traditional computers and single board computers. Full-fledged computers (like PCs and Mac) have a motherboard. On the motherboard, we will essentially find a processor (like the Intel® CoreTM, AMD® AthlonTM, etc.), and other circuitry associated with that. We will also find slots for other peripherals like RAM, ROM, Hard Disk, LAN Card, CPU Fan, Heat Sink, LCD monitor, etc. These peripherals need to be attached to the motherboard separately in order to make the PC/Mac fully functional.

However, Single board computers consist of everything on a single board itself. On the board, we have a processor and all other necessary peripherals and circuitry as well. We have onboard RAM, ROM, flash storage, AV ports, Ethernet port, etc. This means that one board is sufficient to act as a full-fledged computer. They can boot into an operating system (OS) like Linux, Android, etc. and operate like any other computer. Being lightweight and

specific, they have found huge application in smartphones, tablets and other consumer products with specific application based requirements.

These single board computers are not as powerful as the current day PCs, laptops or Mac, and hence do not dissipate much heat. In addition to that, the processors are designed in order to generate less heat and consume less power.

There are several reasons one might opt to use a single board computer as mentioned below:-

- **1.** Portability It being one of the major features. One can carry around a small computer like smartphone in the pocket everywhere one goes. These devices are pretty intuitive to use as well.
- 2 Power They consume less power and energy as compared to traditional computers.
- 3. Cost The most attractive feature is being cost effective. This makes them suitable for developer applications as well for development of new apps, testing, debugging, hardware development, etc.

There are some notable single board computers available in the market for both, hardware and software development. Some of them include Raspberry Pi, The Beagles (BeagleBoard, BeagleBoard xM, BeagleBone, BeagleBone Black), PandaBoard, MK802, MK808, Odroid, Cubieboard, MarsBoard, Hackberry, Udoo, MinnowBoard. All of them have a different configuration in terms of power and processing speeds besides peripheral slots and depending on requirement of application and cost factor they can be appropriately chosen.

Some of the common SBCs which were considered for study as explained in brief in subsequent paras.

5.2.1 Intel NUC

Next Unit of Computing (NUC) is a line of small-form-factor bare bone computer kits designed by Intel. The NUC has had ten generations so far, spanning from Sandy Bridge-based Celeron CPUs in the first generation through Ivy Bridge-based Core i3 and i5 CPUs in the second generation to Gemini Lake-based Pentium and Celeron CPUs and Kaby Lake-based Core i3, i5, and i7 CPUs in the seventh and eighth generations. The NUC motherboard usually measures approximately 4×4 inches $(10.16 \times 10.16 \text{ cm})$, although some models have had different dimensions.



Fig 5.1: Intel NUC SBC

| Form Factor | 4.0 inches by 4.0 inches (101.60 millimeters by 101.60 millimeters) | | |
|-------------|---|--|--|
| Processor | Intel® NUC Board NUC7i5DNBE has a soldered-down 7 th generation | | |
| | Intel® Core TM i5-7300U dual-core processor with up to 15 W TDP | | |
| | - Intel® HD Graphics 620 | | |
| | Integrated memory controller | | |
| | Integrated PCH | | |
| Memory | Two 260-pin 1.2 V DDR4 SDRAM Small Outline Dual Inline Memor | | |
| | Module (SO-DIMM) sockets | | |
| | Support for DDR4 1866/2133 MHz SO-DIMMs | | |
| | Support for 4 Gb and 8 Gb memory technology | | |
| | Support for up to 32 GB of system memory with two SO-DIMMs using 8 Gb memory technology | | |
| | Support for non-ECC memory | | |
| | Support for 1.2 V low voltage JEDEC memory only | | |
| | Note: 2 Gb memory technology (SDRAM Density) is not compatible | | |
| Graphics | Integrated graphics support for processors with Intel® Graphics | | |
| | Technology: | | |
| | Two High Definition Multimedia Interface* 2.0a (HDMI*) back panel connectors | | |
| | Flat panel displays via the internal Embedded DisplayPort* 1.4 (eDP) connector | | |
| Audio | Intel® High Definition (Intel® HD) Audio via the HDMI v2.0a interface | | |
| | through the processor | | |
| Storage | SATA ports: | | |
| | - One SATA 6.0 Gb/s port (blue) | | |
| | One SATA 6.0 Gb/s port is reserved for an M.2 2280 module | | |
| | Note: Intel® NUC Board NUC7i5DNBE supports key type M (PCI | | |
| | Express* x1/x2/x4 and SATA) | | |

| Peripheral | USB 3.0 ports: | | |
|---------------------------------------|---|--|--|
| Interfaces | Two ports are implemented with external front panel connectors (blue) | | |
| | Two ports are implemented with external front panel connectors (blue) Two ports are implemented with external back panel connectors (blue) | | |
| | Two ports are implemented with external back panel connectors (blue) One port is implemented with an internal 1x10 1.25mm pitch | | |
| | header (white) USB 2.0 ports: | | |
| | Two ports via two single-port internal 1x4 1.25 mm pitch headers | | |
| | (white) | | |
| | One port is reserved for an M.2 2230 Module | | |
| | (key type E) Serial Port 1x9 1.25mm pitch | | |
| | header(black) | | |
| | HDMI CEC 1x4 1.25 mm pitch header (black) | | |
| Expansion | One M.2 Module supporting M.2 2280 (key type M) | | |
| Capabilities | One M.2 Module supporting M.2 2230 (key type E) | | |
| BIOS | Intel® BIOS resident in the Serial Peripheral Interface (SPI) Flash device | | |
| | Support for Advanced Configuration and Power Interface (ACPI), Plug and | | |
| | Play, and System Management BIOS (SMBIOS) | | |
| LAN | Gigabit (10/100/1000 Mb/s) LAN subsystem using the Intel® | | |
| Hardware | I219LM Gigabit Ethernet Controller Hardware monitoring subsystem, based on ITE Tech. ITE8987E-VG | | |
| Monitor | embedded controller, including: | | |
| Subsystem | | | |
| Subsystem | Voltage sense to detect out of range power supply | | |
| | voltages Thermal sense to detect out of range | | |
| | thermal values | | |
| | One processor fan header | | |
| | Fan sense input used to monitor fan | | |
| Wireless (Kit | activity Fan speed control Intel® Dual Band Wireless-AC vPro 8265 | | |
| only) | | | |
| | - 802.11ac, Dual Band, 2x2 Wi-Fi + Bluetooth v4.2 | | |
| | - Maximum Transfer speed up to 867 Mhns Supports Intol® Smort Connect On the Connect of the C | | |
| | Mbps Supports Intel® Smart Connect Technology | | |
| | | | |
| Intel [®] vPro TM | Pre-installed M.2 module Intel® Active Management Technology (Intel® | | |
| Technologies | AMT) 11.6 Intel® Virtualization (Intel® VT-x) | | |
| _ commonogres | Intel® Virtualization for Directed I/O | | |
| | (Intel® VT-d) Intel® Trusted Execution | | |
| | Technology (Intel® TXT) Intel® Identity | | |
| | Protection Technology (Intel® IPT) Intel® | | |
| | Software Guard Extensions (Intel® SGX) | | |
| | | | |
| | Intel® Transparent Supply Chain (Intel® | | |
| | TSC) | | |
| | Trusted Platform Module (TPM) 2.0 | | |

 Table 5.1: Intel NUC Technical Specifications

5.2.2 RASPBERRY PI 4B 4GB REV 1.2

Raspberry Pi 4 Model B is the latest product in the popular Raspberry Pi range of computers. It offers ground-breaking increases in processor speed, multimedia performance, memory, and connectivity compared to the prior-generation Raspberry Pi 3 Model B+, while retaining backwards compatibility and similar power consumption. For the end user, Raspberry Pi 4 Model B provides desktop performance comparable to entry-level x86 PC systems.



Fig 5.2: Raspberry Pi 4B

This product's key features include a high-performance 64-bit quad-core processor, dual-display support at resolutions up to 4K via a pair of micro-HDMI ports, hardware video decode at up to 4Kp60, up to 8GB of RAM, dual-band 2.4/5.0 GHz wireless LAN, Bluetooth 5.0, Gigabit Ethernet, USB 3.0, and PoE capability (via a separate PoE HAT add-on).

The dual-band wireless LAN and Bluetooth have modular compliance certification, allowing the board to be designed into end products with significantly reduced compliance testing, improving both cost and time to market.

SPECIFICATIONS

- Broadcom BCM2711, Quad core Cortex-A72 (ARM v8) 64-bit SoC @ 1.5GHz
- 2GB, 4GB or 8GB LPDDR4-3200 SDRAM (depending on model)
- 2.4 GHz and 5.0 GHz IEEE 802.11ac wireless, Bluetooth 5.0, BLE
- Gigabit Ethernet
- 2 USB 3.0 ports; 2 USB 2.0 ports.
- Raspberry Pi standard 40 pin GPIO header (fully backwards compatible with previous boards)

- 2 × micro-HDMI ports (up to 4kp60 supported)
- 2-lane MIPI DSI display port
- 2-lane MIPI CSI camera port
- 4-pole stereo audio and composite video port
- H.265 (4kp60 decode), H264 (1080p60 decode, 1080p30 encode)
- OpenGL ES 3.0 graphics
- Micro-SD card slot for loading operating system and data storage
- 5V DC via USB-C connector (minimum 3A*)
- 5V DC via GPIO header (minimum 3A*)
- Power over Ethernet (PoE) enabled (requires separate PoE HAT)
- Operating temperature: 0 50 degrees C ambient

NOTE: A good quality 2.5A power supply can be used if downstream USB peripherals consume less than 500mA in total.\

5.2.3 ODROID XU4 SBC

The Odroid XU4, the work of a South Korean hardware company called Hard Kernel. Its name is derived from the words 'open' and 'Android', despite the fact that the Odroid hardware isn't entirely open-source. The first version was released in 2009, and new generations have been forthcoming regularly ever since. The XU4 shipped in 2015, with a host of hardware improvements and full backward compatibility.



Fig 5.3: Odroid XU4

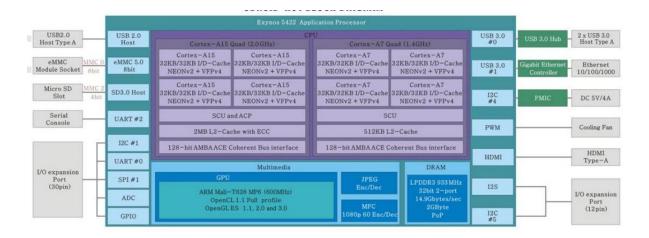


Fig 5.4: Odroid XU4 Block diagram

SPECIFICATIONS

- **CPU -** Samsung Exynos5422 CortexTM-A15 2Ghz and CortexTM-A7 Octa core CPUs
- **GPU -** Mali-T628 MP6
- **Memory -** 2GB LPDDR3
- **Storage -** eMMC5.0 HS400 or MicroSD
- **Connectivity -** Gigabit EthernetWi-FiUSB 3.0
- **Pinout -** 76 fully available GPIOArduino-compatible R3 1.0 pinout
- Ports 2x USB 3.0 host1x USB 2.0 hostRJ45Sata (the Quad version only)Audio out and mic 3.5mm jack inputs
- **OS** Linux
- **Power -** 5V/4A DC power input

For a mere \$59, Odroid offers performance which far outstrips that of cheaper tinker-boards. Under the hood are eight cores clocked between 1.4 and 2 GHz. As a consequence, it makes a serviceable mini-PC; code compiles at lightning speed, and, browsing the desktop, you might forget that you're using a single board computer. The Odroid also offers an impressive array of connectivity options. It satisfies a longstanding demand for a gigabit Ethernet port on an SBC, and comes with a pair of USB 3.0 ports. As such, it's great for situations where you need to transmit and receive loads of data in a short amount of time.

| S.No. | Details |
|-------|---|
| 1 | Processor Samsung Exynos5422 Cortex TM -A15 2 GHz and Cortex TM - |
| | A7 Octa core CPUs |
| 2 | RAM 2Gbyte LPDDR3 RAM PoP stacked eMMC5.0 HS400 Flash |
| | Storage |
| 3 | USB Interface 2 x USB 3.0 Host, 1 x USB 2.0 Host |
| 4 | FPGA Altera Cyclone IV |
| 5 | Power Supply AC: 100-240V; DC: 5V/4A Input |
| 6 | Independent Rx and Tx Signals Paths |
| 7 | External Interface Micro-SD Card HDMI 1.4a for display Gigabit Eth- |
| | ernet port HDMI 1.4a for display |
| 8 | Dimensions 83 x 58 x 20 mm approx.(excluding cooler) |

Fig 5.5: Odroid XU4 Features

When it comes to booting, you'll have two options to choose from: a MicroSD card or an eMMC module. You can choose between the two via a switch on the bottom of the SBC. Finally, you'll find a power supply thrown into the box alongside the board. There's even a built-in power switch, allowing you to turn the thing on and off without yanking out the cable or cutting off the wall supply.

Those eight cores require a little bit of cooling. The first thing you'll notice when you get the device out of its antistatic bag is that there's a heatsink and fan assembly bolted to the front. If you can't stand the noise, then this can be easily swapped out for a passive heatsink – although a much taller one. Moreover, since the eight cores are clocked differently, some tinkering might be required to optimize the system for your purposes. There's no Wi-Fi or Bluetooth built-in, and so those looking for wireless LAN will have to attach peripheral devices. With these minor exceptions aside, it's difficult to fault the XU4 for more advanced SBC enthusiasts.

NOTE

It was decided to use Odroid XU4 for the project as it was simple and readily available SBC (an old unit was lying in one of our lab) with a strong online community support and the initial trials of the project work were carried out using the same. However, due to low RAM (2GB), there was lots of buffering as the network was running very slow and was unable to establish a stable link. So, it was decided to use Intel NUC or Raspberry Pi 4B 4GB rev 1.3 at the latter stage, though the procurement is still pending and the complete setup and trial was carried out using normal PC.

5.3 SDRs

5.3.1 OVERVIEW

The Software Defined Radio (SDR) is a design paradigm for wireless communications devices. Its creator, Joseph Mitola, defined the term in the early90s as an identifier of a class of radios that could be reprogrammed and reconfigured through software. Mitola envisioned an ideal Software Defined Radio, whose physical components were only an antenna and an Analog Digital Converter (ADC) on the receiver side. Likewise, the transmitter would have a Digital Analog Converter (DAC) and a transmitting antenna. The rest of the functions would be handled by reprogrammable processors. Software-defined radio is a concept according to which RF communication is achieved by using software (or firmware) to perform signal-processing tasks that are typically performed by hardware. A software-defined radio (as in, the device itself) is an RF communication system that incorporates a significant amount of this software-based signal-processing functionality.

An SDR performs significant amounts of signal processing in a general purpose computer, or a reconfigurable piece of digital electronics. The goal of this design is to produce a radio that can receive and transmit a new form of radio protocol just by running new software.

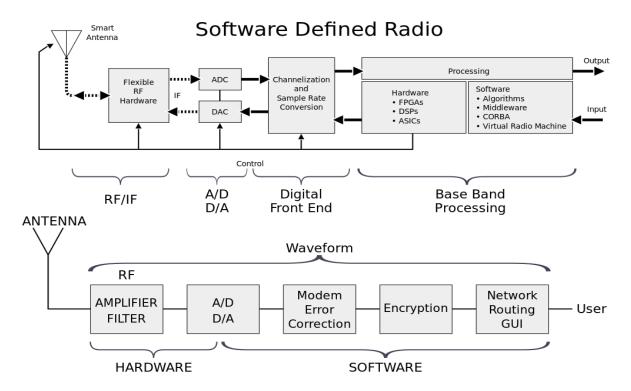


Fig 5.6: Block Diagram of an SDR System

A basic SDR system may consist of a personal computer equipped with a sound card, or other analog-to-digital converter, preceded by some form of RF front end. Significant amounts of signal processing are handed over to the general-purpose processor, rather than being done in special-purpose hardware (electronic circuits). Such a design produces a radio which can receive and transmit widely different radio protocols (sometimes referred to as waveforms) based solely on the software used.

5.3.2 BENEFITS

The main benefit of moving to software radio includes increased flexibility (upgradeability, customization and adaptability). The factors influencing the wide acceptance of software radios in commercial market are given below.

1. Ease Of Manufacture

The time to market of a commercial product is a key consideration in modern engineering design. Software radio implementation and up-gradation of devices via software reduces the design complexity by freeing the designers from the tiresome job associated with analog hardware design and their implementation.

2. Interoperability

An SDR can seamlessly communicate with multiple radios that support different wireless standards. It can also perform bridging between radios as a single multi-channel and multi- standard SDR can act as a translator for all the radios dedicated to a particular frequency.

3. Multi-Functionality

The flexible architecture would allow the SDR to support multiple wireless standards. With rapid growth of different wireless standards like Bluetooth, IEEE 802.11 (WLAN) etc. it is now possible to enhance the services of a radio by leveraging other devices that provides complimentary services such as data-audio-video transfer via Bluetooth or finding accurate position via GPS etc. Due to this multi-functionality property of SDR, as different wireless standards continue to evolve, the functionality of SDR can be enhanced by supporting these standards by simply updating or installing new software changing the underlying hardware.

4. Opportunistic Frequency Reuse (Cognitive Radio)

An SDR can take advantage of underutilized spectrum with the help of cognitive radio which always senses if some spare spectrum is present there in the surroundings

environment. If the owner or primary user of the spectrum is not using it, an SDR can borrow the spectrum and assign it to a secondary user until the owner requires it again. This technique has the potential to dramatically increase the optimal use of available spectrum.

5. Compactness And Power Efficiency

The software radio approach, however, results in a compact and in some cases, a power-efficient design. As the number of functionalities increase, same piece of hardware is reused to implement multiple interfaces thus less number of different hardware components are required as well as power consumption is lowered.

6. Ease Of Upgrades

In the course of deployment, current services may need to be updated or new services may have to be introduced. A flexible architecture of SDR allows improvements and addition of already existing or new functionality through software only instead of replacing the hardware platform or user terminals.

5.3.3 CHALLENGES AND THEIR POSSIBLE SOLUTIONS

1. Security Issues

The wireless communication is prone to interference and security threats. In SDR, security threat is major as the consequence of its reconfiguration capability for handling different wireless standards. Reconfigurability is increased by adjusting signal parameters (like frequency, power, and modulation types) through installing or downloading new software instead of removing and replacing hardware components. The successful deployment of SDR technologies will depend on the design and implementation of essential security mechanisms to ensure the robustness of networks and terminals against security threats. Some major security aspects and their protection techniques are as follows.

- a) Insertion Of Malicious Software To SDR Terminals: Malicious software can be downloaded by an attacker to the SDR terminals. This can be prevented by authentication and verification of software by using Digital Signature to ensure that only authorized software is activated.
- b) Alteration Or Destruction Of The Configuration Data: Configuration data which is needed by the SDR components to perform its functions can be corrupted or removed from the SDR platform. This can be resolved by using data integrity which maintains accuracy and consistency of data over its entire life cycle of SDR.

- c) Overuse Of Processing And Memory Resources: This threat causes abnormal increase in the consumption of processing or memory resources of the SDR platform to cause degradation of service (DoS). SDR can be protected from this threat by using Trusted Computing (TC) via digital certificate. TC component control the access of resources according to specific permission right.
- d) Data Extraction From SDR Components: Attacker collects configuration data of SDR components, air interface data or user data which can be used in subsequent attacks. This can be avoided by the integrity of the Security Administrative Module (SAM) which controls modification, activation, and execution of the software modules.
- e) Unauthorized Use Of SDR Services: Due to this threat, an malicious software or applications can access or use services of the SDR platform for which it does not have the proper access level. The Automatic and Calibration Unit (ACU) represent a protection technique against this security threat related to communication service of SDR. If a malicious signal is activated in the SDR node, the ACU can prevent it from transmitting in unauthorized bands.

2. Increased Complexity And Development Cost

In SDR, multiple signals are designed to run on a single platform and platform can be reconfigured at different times to host different signals according to users need. For example, a single programmable channel can replace two separate dedicated hardware channels. But compared to hardware intensive radio, SDR increases complexity of a manufacturer's design and development process. Open source hardware such as USRP and software called GNU Radio Companion (GRC) are commonly used to do experiments in SDR. Since the cost of USRP is high, a low cost set up is needed which is easily affordable.

A low cost alternative is proposed known as Realtek Software Defined Radio (RTL-SDR) along with an RF mixer. RF mixer converts signal to higher frequencies and thereby bringing the signal to the tuning range of RTL-SDR.

3. High Speed Of ADCs And DACs And Their Synchronization With DSP

The sampling capabilities of ADCs and DACs are a key challenge for the implementation of SDR systems. The ability to digitize real time high frequency signal is fundamental for bridging the analog domain with the digital domain. In the original SDR concept, the RF signal was directly digitized through a high speed ADC after antenna at the

receiver side. Such a high speed ADC is very power intensive. Further the data generated by the high speed ADC is required to be processed by a processor operating at similar high speed. The power consumption of processors at GHz clock rate is also enormous resulting in a system with power consumption of several tens of watts.

This difficulty can be solved by use of an RF front end designed appropriately that can produce a desired band at IF frequency of relatively much lower bandwidth compared to the input bandwidth of SDR and the requirements of high speed ADC are greatly relaxed. Thus an RF frontend has the potential to make the SDR technology suitable for commercial use.

Another challenging issue related to sampling rates is the timing and synchronization required to be maintained within the radio. In order to avoid the mismatch of sampling rates, it is important that the clock rates of the digital signal processing devices (Microprocessors, FPGAs, DSPs) are equivalent and translatable to be synchronized with the clock of the hardware components in the analog domain of the SDR transceiver. This synchronization is major concern to SDR designers.

Channelization and sample rate conversion are often needed to match the sampling rate at the output of the ADC to the clock rate of processing hardware used to implement the receiver as well as to interface the digital hardware that creates the modulated signals to the DAC.

4. Increased Power Consumption

The software and digital logic implementation imposes a computational burden on the SDR platform that leads to an increase in power consumption. To mitigate the expected increase in complexity leading to a decrease in energy efficiency, cooperative wireless networks are introduced. Cooperative wireless network enables the concept of resource sharing. Resource sharing is interpreted as collaborative signal processing. This interpretation leads to the concept of a distributed signal processor. Orthogonal Frequency Division Multiplexing (OFDM) and the principle of Fast Fourier Transform (FFT) are described as an example of collaborative signal processing. Hence, the designers must choose trade-off between flexibility and energy efficiency.

5. Designing Of Antennas Over A Wide Range Of Frequencies

Another challenge lies in designing antennas over a wide range of frequencies since the antennas propagate signals differently for different frequencies transmitted. This leads to the development of Multiple Input-Multiple Output (MIMO) concept and tunable reconfigurable antenna implementation within an SDR to maintain uniform and consistent antenna characteristics over a broad range of frequency or multiband frequencies. In addition, an electronic circuit called 'Antenna tuner' connects the antennas to the rest of the circuit. They are optimized for different antennas and must be matched for optimal power performance. It improves power delivery to the antenna under poor antenna matching condition. However, this requirement complicates the radio design and prevents the implementation of systems with many different frequency ranges on the same SDR platform.

5.3.4 APPLICATIONS OF SDR

1. SDR-Sharp

The first software is called SDR-Sharp and displays in real time all the readings that is capable to generate the SDR device, which it translates to 3.2 MHz in the case of the Teratec RTL2831. It has the following utilities:-

- a) Cheap Radio Receiver: A general purpose computer may become a cheap radio receiver if a SDR device is connected to it. SDR-Sharp works well on single core 2GHz computers with at least 1GBof RAM. However, there are some operations that consume more resources.
- Interference Detection: Not all emissions are kept within the frequency region specifically conceive for them. Some devices let escape signals, resulting from undesired intermodulation, which can interfere with other radio users. As it is visible in **Fig 5.6**, SDR-Sharp is a very useful tool when is necessary to detect an interference.

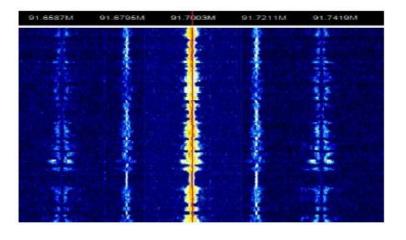


Fig 5.7: Interference Generated by an RF Transmitter

c) **Spectrum Relocation:** A SDR receiver allows exploring a wide range of frequencies so that not used or not assigned spaces can be found, as well as frequencies with very low access. This type of studies allows for transmission relocation, optimizing the consumed bandwidth.

d) Spectrum Regulation And Automatic Transmission's Identification:

Unfortunately, radio users do not always maintain discipline. Sometimes emissions occur in unauthorized bands. Real time monitoring is achievable trough SDR-Sharp. In addition, such a versatile tool induces the implementation of Systems for Automatic Transmission's Identification. Emissions can be distinguished not only by its bandwidth, but by its cyclic variation and specific characteristics such as the tail's shape of the signal. Note that the automatic identification cannot be executed directly with SDR-Sharp. New software needs to be created.

- e) Checking Repeaters Systems: If the power receiver from several repeaters is periodically measured in a common geographic point, damage, interference or disruptions can be detected. Similarly, if a SDR transmitter was available, or a conventional radio device with a similar functionality, low rate or probably down sites availability could be checked. Furthermore, with the employment of frameworks as the mentioned above it's possible to automate the process.
- 2. SDR As A Service: The Corporation that achieves deploying a large SDR network will be able to provide access to the receivers as a service to third parties with specific interests.
- **3. Radiogoniometry:** Location of emission's source using information supplied by several receivers located at distant positions. If at least three of them are used, the location of a radiofrequency source can be accurately determined. However, the application is not directly usable with the software presented.
- **4. Improvement Of Shortwave Communications:** Using remote SDR receivers, shortwave transmissions can be heard even from distant countries. Thus, HF communication reception quality may be improved through Internet.
- **5. Spectrum Exploring:** The listen to specific bands in remote locations can be useful for many organizations.

6. Military Uses

The Joint Tactical Radio System (JTRS) was a program of the US military to produce radios that provide flexible and interoperable communications. Examples of radio terminals that require support include hand-held, vehicular, airborne and dismounted radios, as well as base-stations (fixed and maritime). The program is providing a flexible new approach to meet diverse soldier communications needs through software programmable radio technology. All functionality and expandability is built upon the SCA.

The military have made much use of SDR technology enabling them to re-use hardware and update signal waveforms as needed. Amateur radio operations have successfully employed SDR technology, using it to provide improved performance and flexibility.

- **7. Mobile Communications:** SDRs are very useful in areas such as mobile communications. By upgrading the software it is possible to apply changes to any standards and even add new waveforms purely by upgrading the software and without the need for changes to the hardware. The software updates can even be done remotely, thereby providing considerable savings in cost.
- **8. Research & Development:** SDR is very useful in many research projects. The radios can be configured to provide the exact receiver and transmitter requirements for any application without the need for a total hardware design from scratch.

There are very many other applications that can make use of SDR technology, enabling the radio to be exactly tailored to the requirements using software adjustments.

5.3.5 SOME COMMON SDRs

1. Hackrf One Software Defined Radio (SDR), Ant500 & Sma Antenna Adapter Bundle

HackRF One from Great Scott Gadgets is a Software Defined Radio peripheral capable of transmission or reception of radio signals from 1 MHz to 6 GHz. Designed to enable test and development of modern and next generation radio technologies, HackRF One is an open source hardware platform that can be used as a USB peripheral or programmed for stand-alone operation.



Fig 5.8: HackRF One SDR

Features

- 1 MHz to 6 ghz operating frequency
- Half-duplex SDR transceiver
- Up to 20 million samples per second
- 8-bit quadrature samples (8-bit I and 8-bit Q)
- Compatible with GNU Radio, SDR, and more
- Software-configurable RX and TX gain and baseband filter
- Software-controlled antenna port power (50 ma at 3.3 V)
- SMA female antenna connector
- SMA female clock input and output for synchronization
- Convenient buttons for programming
- Internal pin headers for expansion
- Hi-Speed USB 2.0
- USB-powered
- Open source hardware

2. YARD Stick One USB Transceiver & 915 MHz Antenna

YARD (Yet Another Radio Dongle) Stick One can transmit or receive digital wireless signals at frequencies below 1 GHz. It uses the same radio circuit as the popular IM-Me. The radio functions that are possible by customizing IM-Me firmware are now at your fingertips when you attach YARD Stick One to a computer via USB.

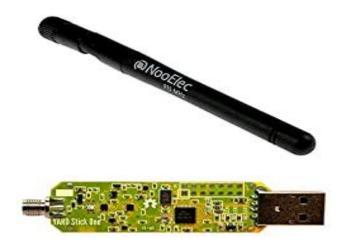


Fig 5.9: Yardstick One USB Transciever

Features

- half-duplex transmit and receive
- official operating frequencies: 300-348 MHz, 391-464 MHz, and 782-928 MHz
- unofficial operating frequencies: 281-361 MHz, 378-481 MHz, and 749-962 MHz
- modulations: ASK, OOK, GFSK, 2-FSK, 4-FSK, MSK
- data rates up to 500 kbps
- Full-Speed USB 2.0

YARD Stick One comes with RFCat firmware installed, courtesy of atlas. RFCat allows you to control the wireless transceiver from an interactive Python shell or your own program running on your computer. YARD Stick One also has CC Bootloader installed, so you can upgrade RFCat or install your own firmware without any additional programming hardware. An antenna is not included. ANT500 is recommended as a starter antenna for YARD Stick One.

3. BladeRF X40

The BladeRF x40 is a low-cost USB 3.0 Software Defined Radio. The BladeRF can tune from 300MHz to 3.8GHz without the need for extra boards. Through open source software such as GNURadio (live image), the BladeRF can be placed into immediate use. With its flexible hardware and software, the BladeRF can be configured to operate as a custom RF modem, a GSM and LTE picocell, a GPS receiver, an ATSC transmitter, or a combination Bluetooth/WiFi client, without the need for any expansion cards. All of the BladeRF host software, firmware, and HDL is open source, and available on GitHub. Support is available for Linux, OSX, and Windows. The BladeRF libraries, utilities, firmware, and platform HDL are released under open source licenses, and schematics are available online. The FPGA and USB 3.0 peripheral controller are programmable with vendor-supplied tools and SDKs that are available online, free of charge.



Fig 5.10: BladeRF X40 Transceiver Module

Features

| Frequency range of 300 MHz to 3.8 GHz - Extendable down to HF/VHF bands with the XB-200 Transverter Module | Up to 28 MHz of instantaneous bandwidth - Software-selectable filter options from 1.5 MHz to 28 MHz |
|---|---|
| Independent RX and TX signal paths - Half or full duplex operation | Arbitrary sample rates up to 40 MSPS - 12-bit IQ samples |
| Per-module frequency, sample rate, bandwidth, and gain settings Direct access to analog ADC/DAC pins | Factory-calibrated 1 PPM VCTCXO - Calibrated within 1 Hz of 38.4 MHz reference - Taming supported via 1.8 V GPSDO reference (1 PPS or 10 MHz) |
| USB 3.0 Support - Cypress FX3 SuperSpeed peripheral controller with integrated ARM926EJ-S - Fully bus-powered over USB 3.0 | Altera Cyclone IV FPGA - 40 kLE or 115 kLE options available for custom signal processing and hardware accelerators |
| External power option via 5V DC barrel jack Backwards compatible with USB 2.0 (with sample rate limitations) | Fully Customizable |
| Supported by popular third-party software - GNU Radio via gr-osmoSDR - Pothos via SoapySDR - SDRangel - SDR Console - SDR# via SDRsharp-BladeRF - MathWorks MATLAB® & Simulink® via libBladeRF bindings | Applications - Custom modem and waveform development - Wireless video (e.g., ATSC, DVB-T, DVB-S) - GPS reception and simulation - Whitespace exploration - ADSB reception and simulation |

Table 5.2: Features of BladeRF X40

4. BladeRF 2.0

The BladeRF 2.0 micro xA4 is the next generation Software Defined Radio (SDR) offering a frequency range of 47MHz to 6GHz, 61.44MHz sampling rate, and 2×2 MIMO streaming. Packed into a small form factor, the BladeRF 2.0 micro was designed for high performance as well as mobile applications. Through libBladeRF the BladeRF 2.0 micro is

compatible with GNURadio, GQRX, SDR-Radio, SDR#, gr-fosphor, SoapySDR, and more on Windows, Linux and macOS.

The RF shield cap protects sensitive RF components from Electromagnetic Interference (EMI) and provides additional thermal dissipation, allowing the BladeRF 2.0 micro to operate in challenging environments.

All of the RF SMA ports are capable of providing power over bias-tee circuitry to wideband amplifiers and pre-amps. Power to bias-tee peripherals is fully software controllable, providing maximal operational flexibility. Currently, the official bias-tee peripherals include the BT-100, a wideband power amplifier for TX, and the BT-200, a wideband low noise amplifier for RX.

At the core of the BladeRF 2.0 micro is the latest generation Cyclone V FPGA from Intel (formerly Altera). The xA4 features a 49KLE FPGA of which about 38KLE are available and user programmable. Optionally, to accelerate modems in HDL and for additional FPGA space, consider the BladeRF xA9, which features the largest-in-class FPGA of any single SDR with 301KLE of which about 294KLE are available and user programmable.



Fig 5.11: BladeRF 2.0 Transceiver Module

An advanced clocking architecture allows the BladeRF 2.0 micro to receive and provide its 38.4MHz fundamental clock from and to other devices. Additionally, an on-board

PLL allows the BladeRF 2.0 micro to tame its VCTCXO to a 10MHz reference signal. The xA4 features a highly accurate and stable oscillator. The on-board DAC sets the frequency trim of the oscillator to a factory calibrated value.

The Power Distribution Network (PDN) of the BladeRF 2.0 micro features an intricate combination of low noise and high efficiency switch mode and linear power regulators. While the BladeRF 2.0 micro can be run solely from USB bus power, an external power source can be supplied to ensure maximal linear performance of bias-tee peripherals. The PDN features an auto selection and hold-over circuitry to optimize power draw between USB bus and external DC power.

The BladeRF 2.0 micro can run in headless without needing to be connected to a PC or SBC. The on-board flash is large enough to hold any size FPGA image for the xA4.

Features

a) RF Performance

- 47 MHz to 6 GHz frequency range
- 2x2 MIMO, 61.44 MHz sampling rate
- 56 MHz filtered bandwidth (IBW)
- Automatic gain control (AGC)
- Automatic IQ and DC offset correction

b) USB 3.0 Superspeed Support

- 200 MHz ARM926EJ-S processor
- Fully bus-powered over USB 3.0
- External power 5V DC barrel with automatic switchover

c) Altera Cyclone V FPGA

49 kLE and 301 kLE variants available for custom signal processing and hardware accelerators

d) Factory-Calibrated VCTCXO

- Taming supported via 12-bit DAC or ADF4002 PLL
- Factory calibration of 38.4 MHz clock

5. USRP Bus Series (B2X0)

The USRP B210 provides a fully integrated, single-board, Universal Software Radio Peripheral (USRP) platform with continuous frequency coverage from 70 MHz – 6 GHz. Designed for low-cost experimentation, it combines the AD9361 RFIC direct-conversion transceiver providing up to 56MHz of real-time bandwidth, an open and reprogrammable Spartan6 FPGA, and fast SuperSpeed USB 3.0 connectivity with convenient bus-power. Full support for the USRP Hardware Driver (UHD) software allows you to immediately begin developing with GNU Radio, prototype your own GSM base station with OpenBTS, and seamless transition code from the USRP B210 to higher performance, industry-ready USRP platforms. An enclosure accessory kit is available to users of green PCB devices (revision 6 or later) to assemble a protective steel case.



Fig 5.12: USRP B210

Features

- RF coverage from 70 MHz 6 GHz
- GNU Radio, C++ and Python APIs
- USB 3.0 SuperSpeed interface
- Standard-B USB 3.0 connector
- Flexible rate 12 bit ADC/DAC
- Grounded mounting holes

USRP B210

- 2 TX & 2 RX, Half or Full Duplex
- Fully-coherent 2x2 MIMO capability
- Xilinx Spartan 6 XC6SLX150 FPGA
- Up to 56 MHz of instantaneous bandwidth in 1x1
- Up to 30.72 MHz of instantaneous bandwidth in 2x2
- Includes DC power supply
- GPIO capability

USRP B200

- 1 TX & 1 RX, Half or Full Duplex
- Xilinx Spartan 6 XC6SLX75 FPGA
- Up to 56 MHz of instantaneous bandwidth
- USB Bus powered

6. LimeSDR

LimeSDR is a low cost, open source, apps-enabled (more on that later) software defined radio (SDR) platform that can be used to support just about any type of wireless communication standard.LimeSDR can send and receive UMTS, LTE, GSM, LoRa, Bluetooth, Zigbee, RFID, and Digital Broadcasting, to name but a few.While most SDRs have remained in the domain of RF and protocol experts, LimeSDR is usable by anyone familiar with the idea of an app store – it's the first SDR to integrate with Snappy Ubuntu Core. This means you can easily download new LimeSDR apps from developers around the world. If you're a developer yourself, you can share and/or sell your LimeSDR apps through Snappy Ubuntu Core as well. The LimeSDR platform gives system developers, inventors, and even students an intelligent and flexible device for manipulating wireless signals, so they can learn, experiment, and develop products and applications.



Fig 5.13: LimeSDR Mini

Applications

- Radio astronomy
- RADAR
- 2G to 4G cellular basestation
- Media streaming
- IoT gateway
- HAM radio
- Wireless keyboard and mice emulation and detection
- Tire pressure monitoring systems
- Aviation transponders
- Utility meters
- Drone command and control
- Test and measurement

With state-of-the-art technical specs, fully open hardware and toolchain, and integration with Snappy Ubuntu Core's app distribution platform, LimeSDR is limited only by our collective imagination.

Features & Specifications

- **RF Transceiver:** Lime Microsystems LMS7002M MIMO FPRF (Datasheet)

- **FPGA:** Altera Cyclone IV EP4CE40F23 – also compatible with EP4CE30F23

- **Memory:** 256 MBytes DDR2 SDRAM

- **USB 3.0 controller:** Cypress USB 3.0 CYUSB3014-BZXC

- Oscillator: Rakon RPT7050A @30.72MHz (Datasheet)

- **Continuous frequency range:** 100 kHz – 3.8 GHz

- **Bandwidth:** 61.44 MHz

- **RF connection:** 10 U.FL connectors (6 RX, 4 TX)

- **Power Output (CW):** up to 10 dBm

- **Multiplexing:** 2×2 MIMO

- **Power:** micro USB connector or optional external power supply

- **Status indicators:** programmable LEDs

- **Dimensions:** 100 mm x 60 mm

| FEATURES | BladeRF x40 | BladeRF x115 | BladeRF 2.0 xA4 | BladeRF 2.0 xA9 | LimeSDR |
|----------------------|---|----------------------|---------------------|---------------------|---|
| 1. PRICE | USD 420 | USD 650 | USD 480 | USD 720 | USD 799/299 |
| 2. FREQUENCY RANGE | 300 Mhz to 3.8GHz | 300 Mhz to 3.8GHz | 47 MHz to 6 GHz | 47 MHz to 6 GHz | 100 kHz – 3.8 GHz |
| 3. ANTENNA | Independent RX and TX signal paths | | 2X2 MIMO | 2X2 MIMO | 2X2 MIMO |
| 4. INERFACE | USB 3.0 | USB 3.0 | USB 3.0 | USB 3.0 | USB 3.0 |
| 5. WIRELESS FEATURES | UPTO 4G | UPTO 4G | UPTO 5G | UPTO 5G | Upto 4G |
| 6. FPGA | Altera Cyclone IV | Altera Cyclone IV | Altera Cyclone V | Altera Cyclone V | Altera Cyclone IV GX |
| 7. TRANSCIEVER | LMS6002D | LMS6002D | AD9361 | AD9361 | LMS7002D |
| 8. SOFTWARE SUPPORT | YateBTS support exhaustive till 2G, minimal 4G support | | exhaustive till 2G, | exhaustive till 2G, | Comprehensive Support available, though sorting is highly recommended |

Table 5.3: Comparative Study of Common SDRs

7. Seedstudio KiwiSDR Kit Software Defined Radio With Beaglebone Green



Fig 5.14: Seed Studio Kiwi SDR

KiwiSDR is a software-defined radio (SDR) covering shortwave, the longwave & AM broadcast bands, various utility stations, and amateur radio transmissions, world-wide, in the spectrum from 10 kHz to 30 MHz. The KiwiSDR is a custom circuit board (cape) you connect to the BeagleBone GreenorBeagleBone Black computer. You simply add an antenna, power supply and network connection. Software supplied on a micro-SD card. An HTML5-capable browser and internet connection will let you listen to a public KiwiSDR anywhere in the world. Up to four people can listen simultaneously to one radio, each listener tunes independently.

Features

- Browser-based interface four simultaneous user connections.
- Each connection tunes an independent receiver channel over the entire spectrum.
- Waterfall tunes independently of audio and includes zooming and panning.
- Multi-channel, parallel DDC design using bit-width optimized CIC filters.
- Good performance at VLF/LF since we personally spend time monitoring those frequencies.
- Automatic frequency calibration via received GPS timing.
- Extension interface

8. NESDR Mini 2+ 0.5PPM TCXO RTL-SDR & ADS-B USB Receiver Set

The NESDR Mini 2+ is tuned for SDR usage, including a high-accuracy, Japanese fabricated, GPS-rated 0.5PPM TCXO crystal; re-designed RF-suitable power supply; and improved capacitors and inductors compared to generic devices. Power consumption has been reduced while improving sensitivity and lowering the noise floor.



Fig 5.15: NESDR Mini 2+

A high quality telescopic antenna and strong magnetic suction mount base included free of charge in order to facilitate a wide variety of antenna mounting options. A free female SMA adapter is also included at no cost for those looking to connect SMA antennas to the NESDR Mini 2+.

The perfect device for learning software defined radio, on the cheap. Amateur radio, ADS-B, police & fire scanning, trunking, satellite images, etc is some applications that can be achieved by this device. One of the best SDRs in this price range.

9. RTL-SDR Blog R820T2 RTL2832U 1PPM TCXO SMA SDR



Fig 5.16: RTL SDR

This is an RTL-SDR software defined radio receiver with RTL2832U ADC chip, 1PPM TCXO, SMA F connector, R820T2 tuner and Aluminium case with passive cooling. It tunes from 500 kHz to 1.7 GHz with up to 3.2 MHz (2.4 MHz stable) of instantaneous bandwidth. (HF mode works in direct sampling mode - V3 models and above only) and is perfect for use as a computer based radio scanner with free software like SDR#, HDSDR, SDR-Radio, Linrad, GQRX or SDR Touch on Android. Works on Windows, MacOS, Linux, Android and even embedded Linux computers like the Raspberry Pi.

Great for many applications including general radio scanning, air traffic control, public safety radio, ADS-B aircraft radar, AIS boat radar, ACARS, trunked radio, P25/MotoTRBO digital voice, POCSAG, weather balloons, APRS, NOAA APT weather satellites, Meteor M2 satellites, radio astronomy, meteor scatter monitoring, DAB, or for use as a low cost PAN adapter with a traditional HAM radio.

This model has several improvements over other models. It uses the improved R820T2 tuner, comes with a 1PPM TCXO (no drift and accurate tuning with a 2 PPM initial offset and 1 PPM temperature drift), improved component tolerances, redesigned PCB, cooling improvements, extra ESD protection and an SMA F connector. It also comes with a software that can activate bias-tee circuit for powering external devices such as LNA's and active antennas.

10. Ham It Up v1.3 - NooElec RF Upconverter For SDR



Fig 5.17: Ham IT Up Ver 1.3

While not a stand-alone SDR, this one made our list anyways. The Ham It Up Upconverter works with the most common SDR platforms to create a HAM radio.

Ham/amateur radio enthusiasts, rejoice! Our MF/HF converter for software defined radio will allow you to listen to MF and HF through your existing software defined radio (SDR).

Improvements include increased sensitivity, ultra-low voltage noise floor, optional battery-powered operation, side-mounted LED indicators, fully-assembled broadband RF noise-source circuit, u.fl socket for optional external clock injection, surface-mount high-accuracy oscillator and much, much more.

11. NESDR Nano 2+ Tiny Black RTL-SDR USB Set

The NESDR Nano 2+ are custom-made by NooElec for SDR applications. The enclosure was also re-designed to assist in maintaining lower temperatures than the previous generation.



Fig 5.18: NESDR Nano 2+

The tiny size and 24/7 capability makes the Nano 2+ perfect for embedded applications. Its size is just 24mm x 21mm x 8mm $(15/16" \times 13/16" \times 5/16")$

The Nano 2+ contains a new custom TCXO fabricated exclusively for NooElec. Important TCXO specifications: Frequency stability: 0.5PPM (max) Phase noise @1kHz offset: -138dBc/Hz (or better) Phase noise @10kHz: -150dBc/Hz (or better) Phase noise @100kHz: -152dBc/Hz (or better) This is, by far, the highest performance TCXO available in any low-cost SDR.

Full compatibility with a wide variety of popular SDR software packages, such as MatLab, HDSDR, SDR Touch, Planeplotter, SDR#, etc. whether Windows, Mac, Linux, Android, Raspberry Pi.

CHAPTER 6

STUDY ON srsLTE PLATFORM

6.1 OVERVIEW

srsLTE is a free and open-source 4G LTE software suite. Using srsLTE, you can build an end-to-end software radio mobile network.

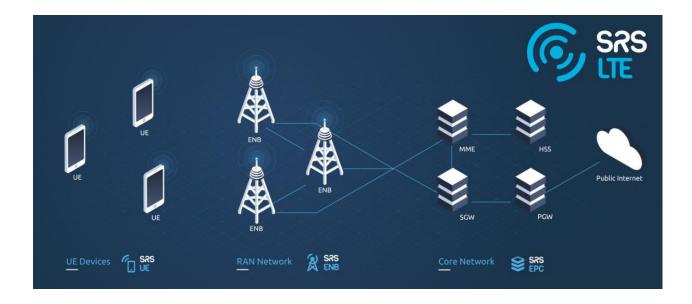


Fig 6.1: An srsLTE Network Diagram

The srsLTE suite includes:

- srsUE a complete SDR LTE UE (User Equipment) application
- srsENB a complete SDR LTE eNodeB (Basestation) application
- srsEPC a light-weight LTE EPC (Core Network) implementation with MME, HSS and S/P-GW

All srsLTE software runs in linux with off-the-shelf compute and radio hardware. srsLTE initially started with the release 001.001.000 with only support for BladeRF. Over a period of time, the platform introduced multiple releases with support for almost all major SDRs, the latest being the Release 20.04.1which was released in Mar 2020. We started our project with Release 18.06 which has support for BladeRF, LimeSDR, Hackf, Soapy SDR, UHD(USRP) and finally graduated to Release 19.6.0 which was released in 30 Jul 2019. The basic features of the Releases are listed as under.

a) 20.04.1

- Fix for UE MIMO segfault issue
- Fix for eNodeB SR configuration
- Clang compilation warning fixes
- Fix GPS tracking synchronization

b) 20.04

- Carrier Aggregation and Time Alignment in srsENB
- Complete Sidelink PHY layer (all transmission modes)
- Complete NB-IoT PHY downlink signals
- New S1AP packing/unpacking library
- EVM and EPRE measurements
- Remove system timers in srsUE and srsENB
- Refactor eNB to prepare for mobility support
- Other bug-fixes and improved stability and performance in all parts

c) 19.12

- Add 5G NR RRC and NGAP ASN1 packing/unpacking
- Add sync routines and broadcast channel for Sidelink
- Add cell search and MIB decoder for NB-IoT
- Add PDCP discard
- Improve RRC Reestablishment handling
- Improve RRC cell measurements and procedure handling
- Add multi-carrier and MIMO support to ZMQ radio
- Refactor eNB scheduler to support multiple carriers
- Apply clang-format style on entire code base
- Other bug-fixes and improved stability and performance in all parts

d) 19.09

- Add initial support for NR in MAC/RLC/PDCP
- Add sync code for NB-IoT
- Add support for EIA3/EEA3 (i.e. ZUC)
- Add support for CSFB in srsENB
- Add adaptation layer to run TTCN-3 conformance tests for srsUE
- Add High Speed Train model to channel simulator
- Rework RRC and NAS layer and make them non-blocking
- Fixes in ZMQ, bladeRF and Soapy RF modules

- Other bug-fixes and improved stability and performance in all parts

e) 19.06

- Add QAM256 support in srsUE
- Add QoS support in srsUE
- Add UL channel emulator
- Refactor UE and eNB architecture
- Many bug-fixes and improved stability and performance in all parts

f) 19.03

- PHY library refactor
- TDD support for srsUE
- Carrier Aggregation support for srsUE
- Paging support for srsENB and srsEPC
- User-plane encryption for srsENB
- Channel simulator for EPA, EVA, and ETU 3GPP channels
- ZeroMQ-based fake RF driver for I/Q over IPC/network
- Many bug-fixes and improved stability and performance in all parts

g) 18.12

- Add new RRC ASN1 message pack/unpack library
- Refactor EPC and add encryption support
- Add IPv6 support to srsUE
- Fixed compilation issue for ARM and AVX512
- Add clang-format file
- Many bug-fixes and improved stability and performance in all parts

h) 18.09

- Improved Turbo Decoder performance
- Configurable SGi interface name and M1U params
- Support for GPTU echo mechanism
- Added UE detach capability
- Refactor RLC/PDCP classes
- Various fixes for ARM-based devices
- Added support for bladeRF 2.0 micro
- Many bug-fixes and improved stability and performance in all parts

i) 18.06.1

- Fixed RLC reestablish

- Fixed aperiodic QCI retx
- Fixed eNB instability
- Fixed Debian packaging

j) 18.06

- Added eMBMS support in srsUE/srsENB/srsEPC
- Added support for hard SIM cards
- Many bug-fixes and improved stability and performance in all parts

k) 18.03.1

- Fixed compilation for NEON
- Fixed logging and RLC AM issue

l) 18.03

- Many bug-fixes and improved stability and performance in all parts

m) 17.12

- Added support for MIMO 2x2 in srsENB (i.e. TM3/TM4)
- Added srsEPC, a light-weight core network implementation
- Added support for X2/S1 handover in srsUE
- Added support for user-plane encryption in srsUE
- Many bug-fixes and improved stability and performance in srsUE/srsENB

n) 17.09

- Added MIMO 2x2 in the PHY layer and srsUE (i.e. TM3/TM4)
- eMBMS support in the PHY layer
- Many bug-fixes and improved stability and performance in srsUE/srsENB

o) 002.000.000

- Added fully functional srsENB to srsLTE code
- Merged srsUE code into srsLTE and reestructured PHY code
- Added support for SoapySDR devices (eg LimeSDR)
- Fixed issues in RLC AM
- Added support for NEON and AVX in many kernels and Viterbi decoder
- Added support for CPU affinity
- Other minor bug-fixes and new features

p) 001.004.000

- Fixed issue in rv for format1C causing incorrect SIB1 decoding in some networks
- Improved PDCCH decoding BER (fixed incorrect trellis initialization)

- Improved PUCCH RX performance

q) 001.003.000

- Bugfixes:
 - i. x300 master clock rate
 - ii. PHICH: fixed bug causing more NACKs
 - iii. PBCH: fixed bug in encoding function
 - iv. channel estimation: fixed issue in time interpolation
 - v. DCI: Fixed bug in Format1A packing
 - vi. DCI: Fixed bug in Format1C for RA-RNTI
 - vii. DCI: Fixed overflow in MIMO formats
- Improvements:
 - i. Changed and cleaned DCI blind search API
 - ii. Added eNodeB PHY processing functions

r) 001.002.000

- Bugfixes:
 - i. Estimation of extrapolated of out-of-band carriers
 - ii. PDCCH REG interleaving for certain cell IDs
 - iii. MIB decoding
 - iv. Overflow in viterbi in PBCH
- Improvements:
 - i. Synchronization in long multipath channels
 - ii. Better calibration of synchronization and estimation
 - iii. Averaging in channel estimation
 - iv. Improved 2-port diversity decoding

s) 001.001.000

- Added support for BladeRF

The srsenb, srsepc and srsue are described in detail in the succeeding paras.

6.2 srsENB

srsENB is an LTE eNodeB base station implemented entirely in software. Running as an application on a standard Linux-based operating system, srsENB connects to any LTE core network (EPC) and creates a local LTE cell. To transmit and receive radio signals over the air, srsENB requires SDR hardware such as the Ettus Research USRP..

Features

The srsENB LTE eNodeB includes the following features:

- LTE Release 10 aligned
- FDD configuration
- Tested bandwidths: 1.4, 3, 5, 10, 15 and 20 MHz
- Transmission mode 1 (single antenna), 2 (transmit diversity), 3 (CCD) and 4 (closed-loop spatial multiplexing)
- Frequency-based ZF and MMSE equalizer
- Evolved multimedia broadcast and multicast service (eMBMS)
- Highly optimized Turbo Decoder available in Intel SSE4.1/AVX2 (+100 Mbps) and standard C (+25 Mbps)
- MAC, RLC, PDCP, RRC, NAS, S1AP and GW layers
- Detailed log system with per-layer log levels and hex dumps
- MAC layer wireshark packet capture
- Command-line trace metrics
- Detailed input configuration files
- Channel simulator for EPA, EVA, and ETU 3GPP channels
- ZeroMQ-based fake RF driver for I/Q over IPC/network
- Round Robin MAC scheduler with FAPI-like C++ API
- SR support
- Periodic and Aperiodic CQI feedback support
- Standard S1AP and GTP-U interfaces to the Core Network
- 150 Mbps DL in 20 MHz MIMO TM3/TM4 with commercial UEs
- 75 Mbps DL in SISO configuration with commercial UEs
- 50 Mbps UL in 20 MHz with commercial UEs
- User-plane encryption

eNodeB Architecture

The srsENB application includes layers 1, 2 and 3 as shown in the figure above. At the bottom of the protocol stack, the Physical (PHY) layer carries all information from the MAC over the air interface. It is responsible for link adaptation and power control.

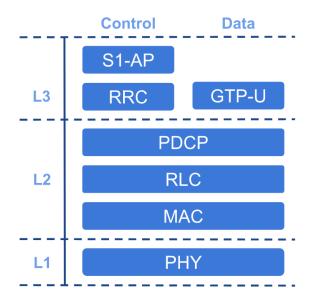


Fig 6.2: Basic eNodeB Architecture

The Medium Access Control (MAC) layer multiplexes data between one or more logical channels into Transport Blocks (TBs) which are passed to/from the PHY layer. The MAC is responsible for scheduling uplink and downlink transmissions for connected UEs via control signalling, retransmission and error correction (HARQ) and priority handling between logical channels.

The Radio Link Control (RLC) layer can operate in one of three modes: Transparent Mode (TM), Unacknowledged Mode (UM) and Acknowledged Mode (AM). The RLC manages multiple logical channels or bearers for each con- nected UE. Each bearer operates in one of these three modes. Transparent Mode bearers simply pass data through the RLC. Unacknowledged Mode bearers perform concatenation, segmentation and reassembly of data units, reordering and duplication detection. Acknowledged Mode bearers additionally perform retransmission of missing data units and resegmentation.

The Packet Data Convergence Protocol (PDCP) layer is responsible for ciphering of control and data plane traffic, integrity protection of control plane traffic, duplicate discarding and in-sequence delivery of control and data plane traffic to/from the RRC and GTP-U layers

respectively. The PDCP layer also performs header compression (ROHC) of IP data if supported.

The Radio Resource Control (RRC) layer manages control plane exchanges between the eNodeB and connected UEs. It generates the System Information Blocks (SIBs) broadcast by the eNodeB and handles the establishment, mainte- nance and release of RRC connections with the UEs. The RRC also manages security functions for ciphering and integrity protection between the eNodeB and UEs.

Above the RRC, the S1 Application Protocol (S1-AP) layer provides the control plane connection between the eNodeB and the core network (EPC). The S1-AP connects to the Mobility Management Entity (MME) in the core network.

Messages from the MME to UEs are forwarded by S1-AP to the RRC layer, where they are encapsulated in RRC messages and sent down the stack for transmission. Messages from UEs to the MME are similarly encapsulated by the UE RRC and extracted at the eNodeB RRC before being passed to the S1-AP and on to the MME.

The GPRS Tunnelling Protocol User Plane (GTP-U) layer within srsENB provides the data plane connection between the eNodeB and the core network (EPC). The GTP-U layer connects to the Serving Gateway (S-GW) in the core network. Data plane IP traffic is encapsulated in GTP packets at the GTP-U layer and these GTP packets are tunneled through the EPC. That IP traffic is extracted from the tunnel at the Packet Data Network Gateway (P-GW) and passed out into the internet.

6.3 srsEPC

srsEPC is a lightweight implementation of a complete LTE core network (EPC). The srsEPC application runs as a single binary but provides the key EPC components of Home Subscriber Service (HSS), Mobility Management Entity (MME), Service Gateway (S-GW) and Packet Data Network Gateway (P-GW).

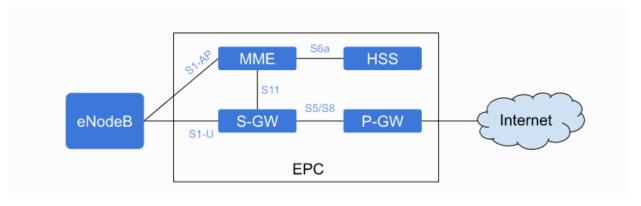


Fig 6.3: EPC Overall Architecture

The figure above illustrates the main components of the EPC, along with the main interfaces between them.

- a) HSS: The Home Subscriber Service (HSS) is the user database. It stores information such as the user's id, key, usage limits, etc. It is responsible for authenticating an authorizing the user's access to the network.
- **b) MME**: Mobility Managment Entity (MME) is the main control element in the network. It handles mobility and attach control messages. It is also responsible for paging UEs in idle mode.
- c) S-GW: The S-GW is the main dataplane gateway for the users, as it provides the mobility anchor for the UEs. It works as an IP router and helps setting up GTP sessions between the eNB and the P-GW.
- **d) P-GW**: The Packet Gateway (P-GW) is the point of contact with external networks. It enforces the QoS param- eters for subscriber sessions.

Features

The srsEPC LTE core network includes the implementation of the MME, HSS and SPGW entities. The features of each of these entities is furthered described below.

MME Features

The srsEPC MME entity provides support for standard compliant NAS and S1AP protocols to provide control plane communication between the EPC and the UEs and eNBs.

At the NAS level, this includes:

- Attach procedure, detach procedure, service request procedure
- NAS Security Mode Command, Identity request/response, authentication

- Support for the setup of integrity protection (EIA1 and EIA2) and ciphering (EEA0, EEA1 and EEA2) At the S1AP level, this includes:
- S1-MME Setup/Tear-down
- Transport of NAS messages
- Context setup/release procedures
- Paging procedures

HSS Features

The srsEPC HSS entity provides support for configuring UE's authentication parameters and other parameters that can be configured on a per-UE basis. The HSS entity includes the following features:

- Simple CSV based database
- XOR and MILENAGE authentication algorithms, specified per UE.
- QCI information
- Dynamic or static IP configuration of UEs

SPGW Features

The srsEPC SPGW entity provides support for to user plane communication between the EPC and the and eNBs, using S1-U and SGi interfaces.

The SPGW supports the following features:

- SGi interface exposed as a virtual network interface (TUN device)
- SGi <> S1-U Forwarding using standard compliant GTP-U protocol
- Support of GTP-C procedures to setup/teardown GTP-U tunnels
- Support for Downlink Data Notification procedures

6.4 srsUE

srsUE is an LTE UE modem implemented entirely in software. Running as an application on a standard Linux-based operating system, srsUE connects to any LTE network and provides a standard network interface with high-speed mobile connectivity. To transmit and receive radio signals over the air, srsUE requires SDR hardware such as the Ettus Research USRP.

Features

The srsUE LTE UE includes the following features:

- LTE Release 10 aligned with features up to release 15
- TDD and FDD configurations
- Tested bandwidths: 1.4, 3, 5, 10, 15 and 20 MHz
- Transmission modes 1 (single antenna), 2 (transmit diversity), 3 (CCD) and 4 (closed-loop spatial multiplexing)
- Manually configurable DL/UL carrier frequencies
- Soft USIM supporting XOR/Milenage authentication
- Hard USIM support via PC/SC
- Snow3G and AES integrity/ciphering support
- TUN virtual network kernel interface integration for Linux OS
- Detailed log system with per-layer log levels and hex dumps
- MAC and NAS layer wireshark packet captures
- Command-line trace metrics
- Detailed input configuration files
- Evolved multimedia broadcast and multicast service (eMBMS)
- Frequency-based ZF and MMSE equalizers
- Highly optimized Turbo Decoder available in Intel SSE4.1/AVX2 (+100 Mbps) and standard C (+25 Mbps)
- Supports Ettus USRP B2x0/X3x0 families, BladeRF, LimeSDR

UE architecture

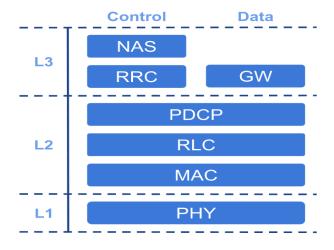


Fig 6.4: Basic UE Architecture

The srsUE application includes layers 1, 2 and 3 as shown in the figure above.

At the bottom of the UE protocol stack, the Physical (PHY) layer carries all information from the MAC over the air interface. It is responsible for link adaptation, power control, cell search and cell measurement.

The Medium Access Control (MAC) layer multiplexes data between one or more logical channels into Transport Blocks (TBs) which are passed to/from the PHY layer. The MAC is responsible for control and scheduling information exchange with the eNodeB, retransmission and error correction (HARQ) and priority handling between logical channels.

The Radio Link Control (RLC) layer can operate in one of three modes: Transparent Mode (TM), Unacknowledged Mode (UM) and Acknowledged Mode (AM). The RLC manages multiple logical channels or bearers, each of which operates in one of these three modes. Transparent Mode bearers simply pass data through the RLC. Unacknowledged Mode bearers perform concatenation, segmentation and reassembly of data units, reordering and duplication detection. Acknowledged Mode bearers additionally perform retransmission of missing data units and resegmentation.

The Packet Data Convergence Protocol (PDCP) layer is responsible for ciphering of control and data plane traffic, integrity protection of control plane traffic, duplicate discarding and in-sequence delivery of control and data plane traffic to/from the RRC and GW layers respectively. The PDCP layer also performs header compression (ROHC) of IP data if supported.

The Radio Resource Control (RRC) layer manages control plane exchanges between the UE and the eNodeB. It uses System Information broadcast by the network to configure the lower layers of the UE and handles the establishment, maintenance and release of the RRC connection with the eNodeB. The RRC manages cell search to support cell selection as well as cell measurement reporting and mobility control for handover between neighbouring cells. The RRC is also responsible for handling and responding to paging messages from the network. Finally, the RRC manages security functions for key management and the establishment, configuration, maintenance and release of radio bearers.

The Non-Access Stratum (NAS) layer manages control plane exchanges between the UE and entities within the core network (EPC). It controls PLMN selection and manages

network attachment procedures, exchanging identification and authentication information with the EPC. The NAS is responsible for establishing and maintaining IP connectivity between the UE and the PDN gateway within the EPC.

The Gateway (GW) layer within srsUE is responsible for the creation and maintenance of the TUN virtual network kernel interface, simulating a network layer device within the Linux operating system. The GW layer permits srsUE to run as a user-space application and operates with data plane IP packets

NOTE

The detailed Deployment of the srsLTE network is given out in the next cgapter. The srsUE is also installed in the PC. Though we are using commercial smartphones (Motorola G3 model) along with USIM cards for the project. We can check up with the mobile devices for the LTE bands that they support and change the network parameters as per our requirements.

CHAPTER 7

INSTALLATION AND PERFORMANCE ANALYSIS WITH KEY RESULTS

7.1 MODULES REQUIRED

- 1. SDRs. After a thorough study, we have homed on to use of both BladeRF X40 and USRP B210. Both the SDRs were readily available and are light weight and cost effective.
- **2. Small Form Factor PC**. It is decided to use Odroid XU4 and main PC for trial. As minimum requirement of RAM was 4GB, it was agreed that we purchase Intel NUC SBC and/or Raspberry Pi 4B 4GB Rev 1.2. All the trials were carried out successfully in a normal PC in laboratory environment as well as in Odroid XU4.
- **3. Micro SD card** and **card reader** for complete setup in Odroid and Raspberry Pi.
- **4. Power**. We are using 12V, 1300mah battery along with a BLE for power requirements.

7.2 SETTING UP PC/SBC

- 1. The latest version of linux can be downloaded from https://wiki.odroid.com/odroid-xu4/os images/linux/start i.e. UbuntuMate 18.04.
- 2. Burn the OS image files into an SD card with 16/32GB memory from another PC.
- 3. Boot up the PC/SBC with Ubuntu 18.04 or higher.
- 4. Upgrade the firmwares and libraries

sudo apt-get update sudo apt-get upgrade

5. It is mandatory that the system is connected to internet while updating and upgrading the OS to the latest version.

7.3 SETTING UP SDRs

1. Installation Of USRP B210 Drivers(UHD)

sudo add-apt-repository ppa:ettusresearch/uhd
sudo apt-get update
sudo apt-get install libuhd-dev libuhd003 uhd-host
sudo uhd_images_downloader

2. Installation Of BladeRF Softwares

sudo apt install git apache2 php

sudo apt install bladerf bladerf-firmware-fx3 bladerf-fpga-hostedx40 libbladerf1 automake libbladerf-dev

7.4 INSTALLATION OF srsLTE

sudo apt install cmake libfftw3-dev libmbedtls-dev libboost-program-options-dev libconfig++ -dev libsctp-dev
git clone https://github.com/srsLTE/srsLTE.git
cd srsLTE
mkdir build
cd build
cmake ../
make
make test
sudo make install
srslte_install_configs.sh user
sudo ldconfig

7.5 ENABLING THE BACKHAND INTERNET CONNECTIVITY TO THE EPC

sudo srsepc if masq <out interface>

NOTE: Here network interface is the name of the interface on which the PC is connected to the internet. It can be ascertained by running the code below:-

sudo ip link show

NOTE: In order to make sure that eNodeB and EPC both are install, we may run the codes below

sudo apt install srsenb

sudo apt install srsepc

7.6 CONFIGURE ENB AND EPC

cp srsenb/enb.conf.example srsenb/enb.conf

cp srsenb/rr.conf.example srsenb/rr.conf

cp srsenb/sib.conf.example srsenb/sib.conf

cp srsenb/drb.conf.example srsenb/drb.conf

cp srsepc/epc.conf.example srsepc/epc.conf

srsepc/user_db.csv.example srsepc/user_db.csv

7.7 PARAMETERS SETTING FOR NETWORK

1. Open the eNodeB configuration file with name enb.conf using the command below and set the value of transmission parameters.

sudo gedit enb.conf

set the following values:

dl earfcn

tx gain

rx_gain

mcc

mnc

- 2. After changing the parameters, open the epc.conf file and set the same mcc and mnc values as in enb.conf
- 3. Now open *user_db_csv* file and edit the user parameters that are being configured in USIM cards. For multiple USIM cards, set multiple entries corresponding to each USIM cards (see para 7.8)

7.8 CONFIGURATION OF USIM CARDS

- 1. Download the SIM card read/write software named GRSIMWrite 3.10.
- 2. Insert the USIM to the SIM card reader.
- 3. Open the grsimwrite.exe to display the page as shown in fig. The data as highlighted is changed as per our requirement.
- 4. Click the button 'same with LTE'. And close the writer.
- 5. The same data as shown below need to be set in EPC file named *user_db_csv*. (ue_name),(algo),(imsi),(K),(OP/OPc_type),(OP/OPc_value),(AMF),(SQN), (QCI), (IP_alloc)
- We had set one USIM for following details:
 ue1, mil, 90170000000001, 00112233445566778899aabbccddeeff, opc,
 63bfa50ee6523365ff14c1f45f88737d, 9000, 00000000000, 9, dynamic

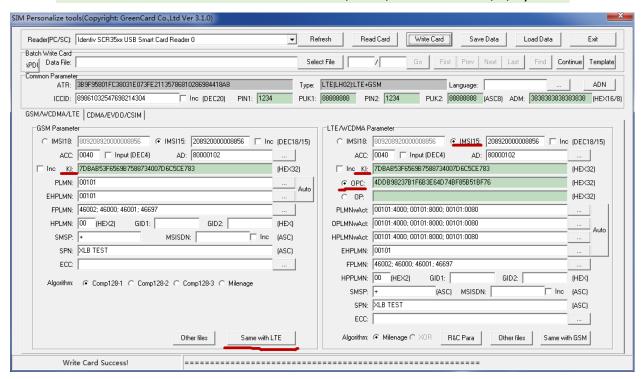


Fig 7.1: GRSIMWrite ver 3.10 Console

7.9 STARTING THE NETWORK

sudo srsepc sudo srsenb

The following are the screenshots of the network trial carried out at lab. The trial was carried outin both normal PC as well as Odroid XU4. The various network and user setting datawill be available in the screenshot below.

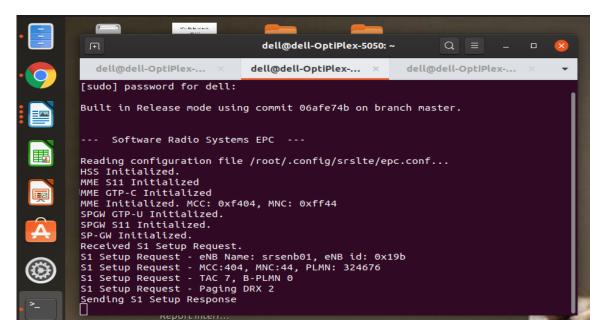


Fig 7.2: EPC Console

Fig 7.3: eNB Console

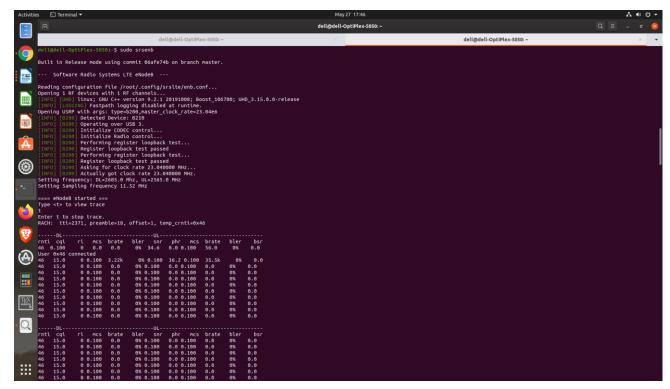


Fig 7.4: ENB Started and linked to EPC

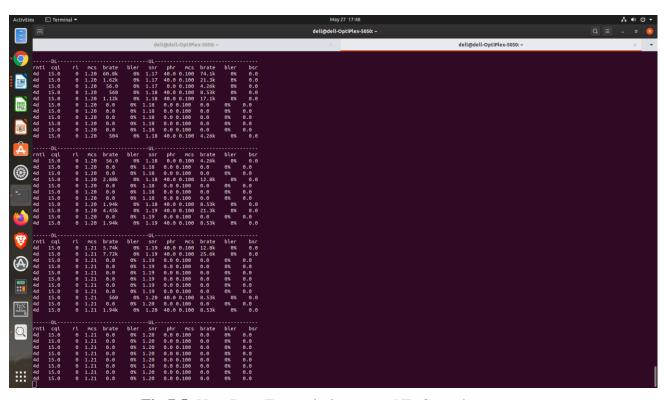


Fig 7.5: User Data Transmission over eNB Console

Trial On Odroid XU4

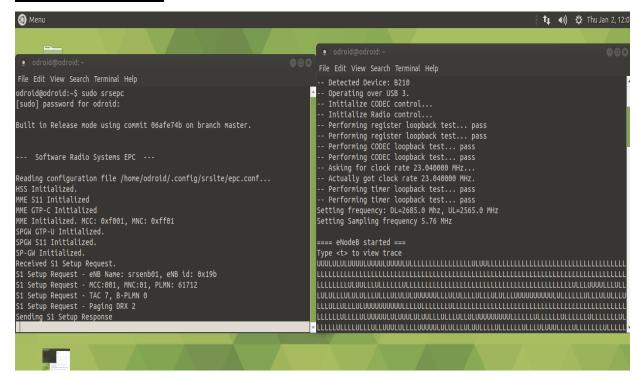


Fig 7.6: EPC and eNB Consoles

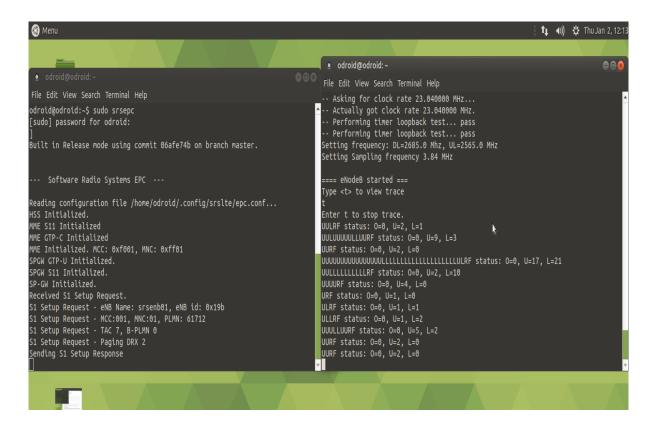


Fig 7.7: EPC and eNB Data Transmission Showing Buffer Data in Consoles

Spectrum Analyser Data

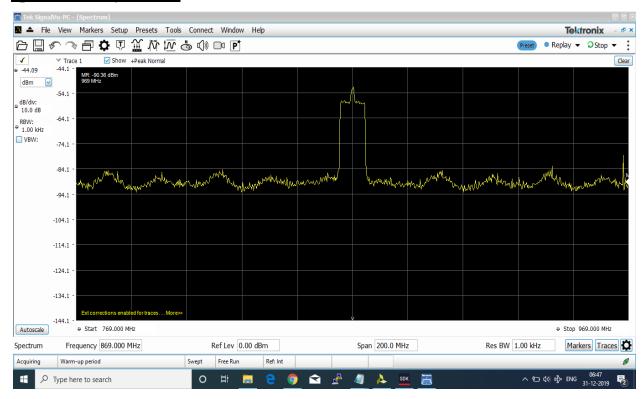


Fig 7.8: Transmitting 4G signals at frequency of 869 MHz and 10 MHz Bandwidth in USRP B210

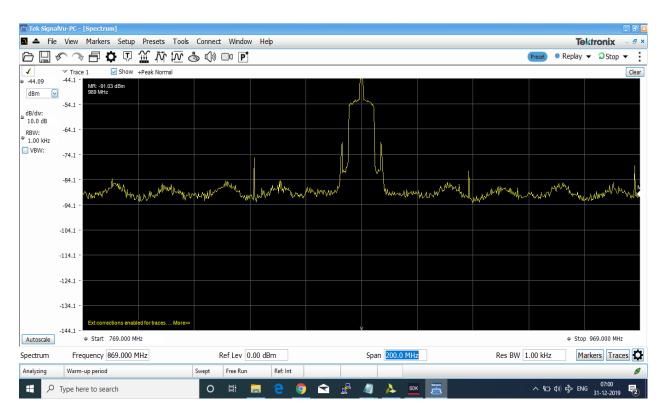


Fig 7.9: Transmitting 4G signals at frequency of 869 MHz and 10 MHz Bandwidth in BladeRF x40

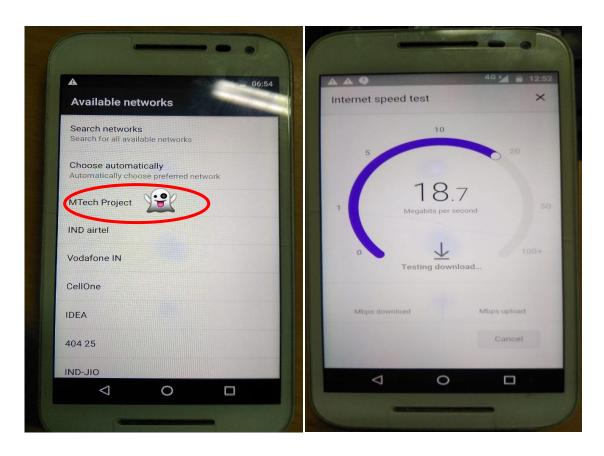


Fig 7.10: 4G Mobile connectivity of the service with name MTECH Project in Motorola G3



Fig 7.11: Network setup using Odroid XU4 with USRP B210 and BladeRF X40

7.10 PERFORMANCE METRIC EVALUATED

1. RF configuration

dl_earfcn: EARFCN code for DL

tx_gain: Transmit gain (dB).

rx_gain: Optional receive gain (dB). If disabled, AGC if enabled

Value set in our network

```
dl_earfcn = 3400
tx_gain = 80
rx_gain = 40
```

```
----Signal------DL------UL-
cc rsrp
           pl
                cfo
                      mcs
                            snr turbo brate
                                             bler ta us mcs
                                                                buff brate
⊸bler
      -77
                                                      0.0
  0
            77
                 1.2k
                        24
                              33 0.84
                                         5.8M
                                                 0%
                                                            18
                                                                 193k
                                                                        624k
                                                                                0%
      -77
                                 0.80
                                         5.7M
            77
                 1.2k
                        24
                              31
                                                 0%
                                                      0.0
                                                            18
                                                                 193k
                                                                        631k
                                                                                0%
  0
     -77
            77
                        24
                                 0.80
  0
                 1.2k
                              32
                                         5.8M
                                                 0%
                                                      0.0
                                                            18
                                                                 192k
                                                                        633k
                                                                                0%
     -77
            77
                        25
                              34
                                 0.93
                                                 0%
                                                      0.0
  0
                 1.2k
                                         6.0M
                                                            18
                                                                 194k
                                                                        636k
                                                                                0%
     -77
            77
                 1.2k
                              33 0.83
                                         5.8M
                                                 0%
                                                      0.0
  \cap
                        24
                                                            19
                                                                 193k
                                                                        632k
                                                                                O&
  0
      -77
            77
                1.2k
                        24
                              31 0.82
                                         5.8M
                                                 0%
                                                      0.0
                                                            18
                                                                 194k
                                                                        632k
                                                                                0%
      -77
            77
                                                      0.0
  0
                1.2k
                        24
                              32
                                 0.82
                                         5.8M
                                                 0%
                                                            18
                                                                 193k
                                                                        635k
                                                                                0%
  0
      -77
            77
                        25
                              34
                                 0.91
                                         6.0M
                                                 0%
                                                      0.0
                                                            18
                                                                 194k
                                                                        629k
                                                                                0%
                1.2k
  0
      -77
            77
                1.2k
                        24
                              33
                                 0.85
                                         5.8M
                                                 0%
                                                      0.0
                                                            19
                                                                 193k
                                                                        634k
                                                                                0%
  0
      -77
            77
                 1.2k
                        24
                              31
                                  0.82
                                         5.7M
                                                 0%
                                                      0.0
                                                            19
                                                                 194k
                                                                        647k
                                                                                0%
  0
      -77
            77
                 1.2k
                        24
                              32
                                  0.84
                                         5.8M
                                                 0%
                                                      0.0
                                                            18
                                                                 192k
                                                                        629k
                                                                                0%
```

Fig 7.12: Example of Metric Trace of the srsLTE Network

Metrics are generated once per second by default. This can be configured using the expert.metrics_period_secs parameter in ue.conf. Metrics are provided for the received signal (Signal), downlink (DL) and uplink (UL) respectively. The following metrics are provided:

- **mcs:** Modulation and coding scheme (0-28)
- **snr**: Signal-to-Noise Ratio (dB)
- **turbo:** Average number of turbo decoder iterations
- **brate**: Bitrate (bits/sec) bler Block error rate
- **ta_us**: Time advance (uS)
- **buff**: Uplink buffer status data waiting to be transmitted (bytes)

- <u>cc</u>: Component carrier

rsrp: Reference Signal Receive Power (dBm)

- **<u>pl</u>**: Pathloss (dB)

- <u>cfo</u>: Carrier Frequency Offset (Hz)

CHAPTER 8

KEY RESULTS AND SUMMARY

As seen clearly from the previous chapter, it is seen that a 4G/LTE network can be established using the srsLTE platform. The network can be customized as per the need of the organization or agency using it. Moreover, it is important to study that which smartphone brands support which LTE band.

Most of the budget phones do not support FDD B7 band which we had configured which has EARFCN value of 3400. The downlink frequency is 2.685GHz and uplink frequency is 2.565GHz, which is commercially not being used elsewhere and hence proven to be interference free band of frequencies, Though modification can be made to the eNB by changing the RF parameters of the *enb.conf* file as per our needs to any frequency region as well as power levels and channels.

The established network supported VoIP services as of now. So, an IMS server can also be integrated in future for number to number calling. Presently, the users are being authenticated connection to the network eNB by their IMSI number which have been configured both in USIM card and EPC parameters in *epc.conf* file and stored in *user_db_csv* file also.

CHAPTER 9

PAPER STUDY ON SPETRUM SENSING ALGORITHMS

9.1 SUMMARY ON PAPERS ON SPECTRUM SENSING

All the eight papers give detailed highlights of the Spectrum Sensing algorithms for Wideband Spectrum Sensing, starting from the basic energy sensing to advanced subnyquist Wide Band compressive Sensing and SweepSensing algorithms, the details of each of them are presented below.

PAPER 1: Simple and Low-Cost Platforms for Cognitive Radio Experiments

- (a) Written by Alexander R. Young and Charles Bostian
- (b) The Article deals with the CR architecture in which RF ASIC on a chip can replace the usual SDR of a CR, thereby reducing the radio cost, computationally complexity and size.
- (c) Basic emphasis was given on the reduction in SDR platform wherein the system can have computational platform (CE and DSP functions) and Radio Platform (for RF functions) only rather than the complete SDR setup.
- (d) Basic algorithm followed is Energy Detection and uses Listen-Before-Talk Protocol.
- (e) The paper is demonstration for Dynamic Spectrum Access.
- (f) The main advantage of the proposed replacement is the reduction in size and weight, suitable to be carried by a drone or a UAV.

<u>Paper 2:</u> Wideband Spectrum Sensing for Cognitive Radio Network: A Survey

- (a) By Hongjian Sun, Arumugam Nallanathan, Cheng-Xiang Wang, Yunfei Chen
- (b) The paper basically deals with the advantages of WideBand Spectrum Sensing, with the limitations paused by frequency selective narrow band or single band sensing.
- (c) Various WB sensing algorithms are discussed in the paper, giving the advantages and disadvantages of each of the algorithms.
- (d) Special emphasis is delegated to Sub-Nyquist Sensing techniques for it added pros (Compressive Sensing, Multichannel Sub-Nyquist Sampling Techniques, etc)

(e) Moreover, it also mentions the future challenges in Sensing and spectrum utilisation techniques to include Adaptive WideBand Sensing and Co-operative Sensing techniques.

<u>Paper 3</u>: A Survey of Spectrum Sensing Algorithms for CR Applications

- (a) By Tevfik Yucek and Huseyin Arslan
- (b) The paper presents basic sensing algorithms and their pros and cons.
- (c) It then gives the aspect of extension of dimensions of sensing. Although generic spectrum sensing algorithms traditionally are being understood as measuring the spectrum usage characteristics only. Here, spectrum usage is studied in multiple dimensions like time, space, codes, etc.
- (d) The article presents theoretical Hyperspace which has location, Angle of Arrivals, beam forming, frequency, time, errors, etc. and also termed as Electro space.
- (e) Moreover, it also discusses Single Radio Sensing Architecture and Dual Radio Sensing Architecture.
- (f) Some challenges faced for Multidimensional Sensing are also discussed. Cooperative Sensing both centralized and distributed techniques are also being made a mention.
- (g) Basically, the paper presents a theoretical aspects of various sensing techniques and their pros and cons.

<u>Paper 4</u>: Compressed WB Spectrum Sensing: Concept, Challenges and Enablers

- (a) By Bechir Hamdaoui, Bassem Khalfi and Mohsen Guizani
- (b) This paper deals mainly to the concept of Compressed Spectrum Sensing algorithms. It gives some cons of the uncompressed sensing techniques, followed by the Sub-Nyquist Compressive Sensing for WideBand sensing.
- (c) The idea of Sparsity in matrices is considered here.
- (d) Moreover, concept of Weighted Compressive Sensing Technique is introduced to improve the recovery efficiency. Here, applications of similar types are assigned a spectrum block, within the same frequency blocks as we know that different frequency blocks exhibit different occupancy statistics. Weights are assigned to blocks with some Sparsity (i.e. blocks with higher sparsity assigned lower weights).

(e) It also gives the overview of Adaptive and Cooperative Compressed Spectrum Sensing and also presents some open research challenges.

<u>Paper 5</u>: WideBand Spectrum Sensing with Sub-Nyquist Sampling in Cognitive Radios

- (a) By Hongjian Sun, Arumugam Nallanathan, Wei-Yu Chiu, Jing Jiang and H. Vincent Poor.
- (b) It deals with Multirate Asynchronous Sub-Nyquist Sampling (MASS) technique for wideband spectrum sensing.
- (c) It uses basic Energy detection sensing technique, but applied to wideband filter, which is subdivided into wavelets. Each wavelets is detected using Filter banks using multiband joint detection with each filter banks occupying varied frequencies. The sampled data are detected using FFT and the entire spectrum is reconstructed. The Reconstructed energy is detected based on threshold detection technique.
- (d) Here, sampling is done Sub-Nyquist following some given mathematical criteria so as to have low probability of overlap.
- (e) The study is also being simulated in the paper.

<u>Paper 6</u>: Dynamic Compressive Spectrum Sensing for Cognitive Radio Networks

- (a) By Wotao Yin, Zaiwen Wen, Shuyi Li, Jia (Jasmine) Meng and Zhu Han
- (b) The paper exhaustively deals with Compressive Sensing in details.
- (c) It gives basic idea of collaborative compressive sensing technique and its advantages over traditional sweeping of a set of channels sequentially. Here, sharing of the sensed data is shared with the fusion centre for use by all other CRs.
- (d) The paper explains different types of Compressive Sensing Algorithms like the Static or Dynamic Compressive Sensing. It explains the basic signal models and recovery method more extensively for dynamic techniques.
- (e) Finally, a simulation model is presented along with performance analysis of dynamic sensing.

<u>Paper 7</u>: Spectrum Sensing and Resource Allocation for Multicarrier Cognitive Radio Systems Under Interference and Power Constraints

(a) By Sener Dikmese, Sudarshan Srinivasan, Misbah Shaat, Faouzi Bader and Marku Renfors

- (b) The paper claims to be the work of Spectrum Sensing and Resource allocation together in a single algorithmic framework. Generally, most of the sensing techniques either does spectrum sensing or does resource utilisation after sensing, but not both.
- (c) Here, the article presents algorithm for sensing spectrum using energy detection technique (Filter Bank Based Wideband sensing), followed by algorithm for optimal resource allocation in a single CR Networks.
- (d) It gives the signal models of all the units (PU, SU, Noise and Interference, etc) for Sensing. Use of Sub-band Energy detection followed by FFT or Analysis Filter Bank method for detection and spectrum utilisation using Convex Optimisation problem to the recovered signal details.
- (e) Finally, it gives a simulation and analysis of the algorithm being discussed.

<u>Paper 8</u>: SweepSense: Sensing 5 GHz in 5 milliseconds with Low-cost Radios

- (a) By Yeswanth Guddeti, Raghav Subbaraman, Moein Khazraee, Aaron Schulman and Dinesh Bharadia.
- (b) The paper presents the idea of SweepSense which gives a high time resolution wide sensing using Off the Shelf Narrow Band radios.
- (c) It gives the practical implementation framework using a simple NB radio to sweep for wideband with high fidelity. Involves modification of SDR hardware, Verilog programming and generation of saw tooth waveform to enable the radio to Sweep over large bandwidth.
- (d) It gives the step by step procedure of the implementation of SweepSense along with practical application ideas liking Sweeping across the 4G band for LTE channel utilisation.
- (e) Advantages and limitations are also discussed along with challenges to come while making a NB radio like URSP sweep across a band.
- (f) It is altogether the combination of basic sensing technique followed by making the sensing Sweep. By doing this, we achieve wideband sensing by using merely a low-cost energy sensing CR radios.

CHAPTER 10

POTENTIAL EXTENSION AND FUTURE WORKS

10.1 POTENTIAL EXTENSION

Presently, the project aimed at provision of communication infrastructure to PPDR Agencies, communication for military activities, for covering non-communication zone, etc. The main aim/scope of the entire setup is the 4G/LTE based communication setup over aerial platform, Drones or UAVs. But being portable in nature, the system gives various facets of flexibilities for other application of future needs. Some of the probable extensions envisaged are listed in the succeeding paragraphs.

- a) Integration of Spectrum Sensing: The Spectrum Sensing algorithms can be implemented in the same hardware or a different SDR (board only needed) and can be mounted over. This extension may be utilized for studying various frequency transmission nature of the area deployed. Further it can provide necessary spectrum utilization datas to the existing communication infrastructure and hence the system can home on to unused frequencies to avoid interference between the set network and other commercial transmissions.
- **b)** Integration of Jammers: The system can also include a jammer which can jam a network which is causing disturbances during PPDR duties. Further, The whole system can act as an independent "Detect-Avoid-Jam" 4G/LTE network which can itself analyse the frequency occupancy and make necessary decision to enable interference free and robust network architecture.
- c) Secured Network for Military Grade Applications: Though the srsLTE has its own encryption keys for securing traffic between eNB and User devices and the same can be used as a communication network for military areas where mobile network is meager. Still incorporation of a strong encryption algorithm can provide the network a grade of security which will enable usage of the network for secured tactical military applications.

- **d) AI-Based Intelligent Scanner cum Communication System:** The proliferation of AI/ML has resulted into enhancement of several cognitive features of an SDR. The same can be utilized for self-assessing system network.
- e) 4G/LTE integration with Military Tactical network: Modules can be designed which may act as the mediator system between the secured network of military with the srsLTE network established. The military VHF/HF and Radio relay networks are the main backbone in the tactical network system. Moreover, Indian Army units have deployed military grade 4G network in various regions for their in-house communication. All these can be proposed to integrate for seamless transmission.
- f) 4G/LTE Based RADAR System: The concept of SweepSense has given possibility that the SweepSense algorithm can scan through 5GHz band of frequencies in just 5 milliseconds time. This gives the sensor its RADAR capabilities and can detect the frequency of any flying/transmitting network in milliseconds times. The sensed data may be transmitted over using the 4G/LTE based backhaul network designed.

10.2 RECOMMENDATIONS FOR THE FUTURE WORK

- a) Encryption Algorithm: A software based security system can be designed which can be incorporated with the network for further robustness and reliability.
- **b)** Configuration of IMS Server: An IMS server like that of Kamailo can be integrated to allow number to number calling between subscribers. Presently, the network established has strong data capability providing VoIP, internet services.
- c) 5G Core With 4G EPC: Open Air Interface has given feasibilities of interfacing 5G core network with 4G EPC as backhaul connectivity. The system can be exploited using srsLTE EPC and OAI 5G for purpose of study of 5G network.
- d) Integration of AI, Spectrum Sensing, Jammer and a cross-Platform Module: As mentioned earlier, a "Robust and Smart" device can be made out of the srsLTE based network. This single device can act as a communication module, a sensor or a RADAR with smart and Intelligent AI based Cognition.

- **e) 4G Mesh Network:** Multiple 4G based srsLTE EPC can be interconnected to form a mesh network. The network should IMS server and allow calling out of the eNB zone of one node to another. This would enhance the network capabilities and range of the system.
- **f) Power Amplifier:** A Small Power Amplifier can be identified which can enhance the signal strength of the eNB. Presently the system works for a distance of about 150m. The amplifier may be chosen and configured such that the range may be enhanced to the level of the classical 4G commercial network, may be say 800-1000 meters.

CHAPTER 11

CONCLUSION

It has been ascertained that the PPDR and allied agencies need a robust and reliable network for their normal functioning during any disaster or public protection work. Moreover, India is abound of many black out areas where mobile networks are almost zero. Hence, it is always needed that an ad-hoc network be ready for such situations. The srsLTE provides a beautiful platform where one can develop a working 4G/LTE network which can be easily used.

Moreover, military activities also need a good communication on the move. Hence, the system with some encryption can be a best solution to the military equation.

There are some basic limitations which cropped up in the network which can also be modified in future for further enhancements.

- a) The srsLTE works in a particular band of LTE say FDD B7. So, selection of smartphones becomes an important task to use the network as some may not support the established network.
- b) There is no backhaul connectivity with other EPCs, the solution for the problem nedds an address. But backhaul data connectivity can be established by masquerading the network address of the internet service that we provide.
- c) Power limitations when on air. This problem can be resolved using tethered drones where drones are powered by power from ground. But mobility restricted to the length of the power cable here.
- d) The range and limited power output of the SDR limits it to be covered for a distance of about 150-200 metres. The range can be enhanced by using an amplifier.

Despite its limitation, a strong network over an aerial platform like drones would cater for any exigency of the duties the PPDR agencies are undergoing. The mobility gives huge added advantages over the static network. It's easy to install and launch and are failproof during any calamities. It can be carried and used as an ad-hoc network for various other purposes too.

APPENDIX A: WEIGHTS OF VARIOUS HARDWARES AND COMPPLETE SETUP

| a) | JioFi Dongle | _ 100gms. |
|----|----------------------------|-----------------------------|
| b) | ODROID-XU4 | 90gms with fan (board only) |
| c) | BladeRF | 273gms |
| d) | Battery Eliminator Circuit | 42 gms. |
| e) | Bty (1300mAH) | 125gms. |
| f) | Bty (6200mAH) | 430gms. |
| g) | Boxes and misc connections | 300gms |
| h) | USRP B210 | 350gms |

So the set up with *SBC+BladeRF* will be approximately *1.36 kgs* and the set up using *USRP B210* will be about *1.44 kgs*. Both the load can be easily carried by a drone and hence will facilitate smooth functioning on air.

APPENDIX B: SUBMISSION FOR ANVESHAN 2020 COMPETITION

DRONE-BASED 4G COMMUNICATION SYSTEM FOR PPDR VOICE AND VIDEO APPLICATIONS

1. <u>Introduction</u>.

Two scenario wherein emergency communication are needed are natural (hurricanes, floods etc) and manmade disasters (such as railway accidents) in order to carry out the relief work. India is a country comprising of diverse weather scenarios and the effects of the varied weather conditions are very well known. India is highly prone to natural disasters like floods, earthquakes, coastal cyclones. Hence, the country has taken up huge steps and measures to provide relief to the disaster affected areas and the people. Various agencies work together to provide public safety and disaster relief activities are in vogue throughout the year. Hence, coordination between these agencies and the government bodies are a must.

During such events, there is sudden increase in communication traffics (voice, video & data) in cellular network. The sudden increase in loads, in conjunction with the failures due to natural/ man-made emergencies result into complete choking of the network infrastructure, causing communication outages during such times.

The goal of this project is to provide a Droned-Based 4G Communication system, which is quick and easy to establish and can be mobilised easily. The main aim of the proposed system is to provide the PPDR Agencies Military/ para military/ medical team favourable working environment to carry out their intended relief work, with full communication connectivity.

2. Detailed Problem Statement and Prescribed Solution.

Communication plays an important role in providing rescue and relief activities during natural or man-made disasters, especially in highly prone countries like India. Public Protection and Disaster Relief (PPDR) Agencies like Police, Fire, Paramedics, Military, etc need strong and resilient communication networks for their emergency and public safety operations. Hence, the effectiveness and efficiency of public protection, safety and law enforcements pivots on the ROBUST and RELIABLE COMMUNICATION INFRASTRUCTURE.

Thus, the proposed solution intends to develop a Communication System for the PPDR applications, which can be deployed at the affected areas and can be mobilised in a less than 30 mins so as to enhance the effectiveness and communication of the PPDR teams. The solution prototype will comprise of an SDR with the transceiver configured as a 4G eNodeB and EPC, a small form factor computer for running the SDR and power arrangements, housed in a box. The entire set up will be mounted on a Drone for enhanced mobility and flexibility (typical flying height of drone 25-50m).

Today the primary limitation of drone is their limited flying time. This issue will be addressed by using a tethered drone with the tether providing power & data connectivity thereby enabling the drone to fly for extended period (several hours).

Moreover, drone based 4G system will integrate video camera to enable video/ image transfer. The drone will also have a spectrum sensing hardware to detect the unused frequency to avoid interference.

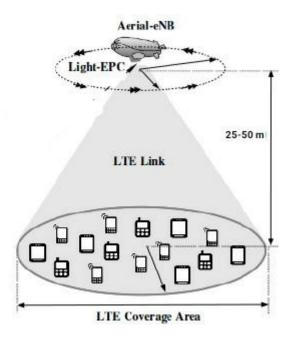
Additionally, the proposed solution can also be implemented for the following scenarios (with or without tether):-

- (a) Tactical/ defence operations in border areas or in those areas where there is complete blackout of the cellular communications networks
- (b) With video feed capability of the drones, it can be used for search and rescue operations of trapped survivors in inhospitable terrains. It can also be used for video surveillance & reconnaissance.

3. Uniqueness of the project / Innovation

- (a) The Indian PPDR agencies use narrow band digital trunking technology like TETRA and P25 systems which are primarily meant for voice communications, which are run by independent state agencies. This results in inability to have seamless communication interoperability and information sharing amongst the relief agencies/ workers.
- (b) Being independent of one another, the communication devices of the different agencies are not interoperable, or compatible to one another.
- c) Major Uniqueness of the proposed solution is enumerated below:-
 - (i) Drone-based portable eNodeB stations to provide extended coverage range of communication.
 - (ii) Voice, video and data capability using commercial 4G handsets.
 - (iii) Adequate power supply via tethered drone.
 - (iv) Only authorised PPDR workers can connect to the communication network.
 - (v) Wide range of applicability in addition to PPDR activities; such as tactical operations and temporary communication setup (hotspot coverage during large religious festivals, rallies etc).

4. System or Concept level Block Diagram



5. What do you want to achieve on the project if you are selected?

If the project proposal is selected, then the following are the tasks that will be planned for achievements during the period of six months given for the development:-

- (a) Development and configuration of the 4G eNodeB prototype of the communication system as proposed.
- (b) Testing in the laboratory and on ground using tethered drone.

6. What are your long term plans to take this project forward?

The following are amongst the brief aspects of future planning that will be further followed by the team;-

- (a) Development of the complete and workable design solution.
- (b) Ruggedization of the complete setup for enhanced outdoor usage.
- (c) Integration with the existing cellular infrastructure and service providers.
 - (d) Transfer of technology to Industrial partner for product development.

7. What would be the possible Limitations of your proposed solution to the problem?

(a) Drones are affected by heavy rains and strong winds.

- (b) Some training is required to fly the drones and to keep in hovering position.
- (c) Must avoid collision with trees and foliage.
- (d) Spectrum allocation for emergency commutation (our proposed solution will use cognitive radios and white space detection to avoid interference).
- (e) Network Security and Privacy.

8. What Hardware, Software and Cloud platforms would you plan to use?

- (a) A Software-Defined Radio with Transceiver module embedded within it.
- (b) Small form factor single board computer
- (c) Drones
- (d) Tethered to supply power and data connectivity to drone
- (e) 4G User Equipment (Smart Phones) and SIM cards
- (f) Software programs for 4G eNodeB and light EPC

The functionalities of (a) and (b) can be developed using Analog Devices products and evaluation platform.

APPENDIX C: UBUNTU CONFIGURATION AND SETUP GUIDE FOR srsLTE

1. Installation of UHD

```
sudo add-apt-repository ppa:ettusresearch/uhd
sudo apt-get update
sudo apt-get install libuhd-dev libuhd003 uhd-host
sudo uhd_images_downloader
```

2. Installation of Library Packages

```
sudo apt-get install cmake libfftw3-dev libmbedtls-dev libboost-
program-options-dev libconfig++-dev libsctp-dev
```

3. Installation of srsLTE

```
git clone https://github.com/srsLTE/srsLTE.git
cd srsLTE
mkdir build
cd build
cmake ../
make
make test
sudo make install
sudo srslte_install_configs.sh
sudo ldconfig
```

4. Connecting EPC with Backhaul Internet

```
sudo ip link show
sudo srsepc_if_masq <out_interface>
```

After running iplink show, note the network interface name which is to be used in next line of command.

5. Running the srsLTE

```
sudo srsepc
sudo srsenb
```

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