Z-SOURCE INVERTER FOR GRID-CONNECTED SOLAR PV APPLICATIONS

A Project Report

submitted by

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1 Motivation for ZSI

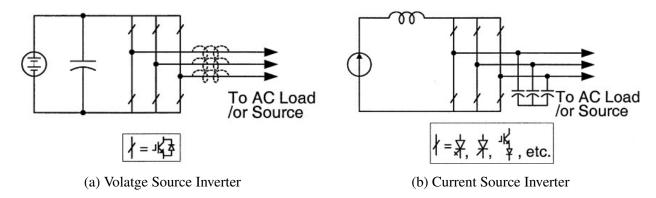


Figure 1: Traditional Inverters

The Voltage Source Inverter (VSI) is the simplest of the inverters with a DC bus(or an equivalent source) connected to a three-phase bridge. VSI is a buck inverter as the output AC peak voltage is always lesser than the DC bus voltage. In applications where higher AC voltage is required, an additional boost converter is required on the DC side to feed the inverter with a boosted DC source. VSI also needs sufficient dead time, when switching between the top switch and bottom switch of a phase-leg, to avoid shoot-through which may short the DC bus leading to a high current flowing through that leg. This dead time could potentially cause waveform distortion.

Current Source Inverter (CSI) on the other hand has a current source at its input (or equivalently a DC voltage source in series with an inductor). CSI is a boost inverter as the output AC voltage is always higher than the DC voltage which is feeding the inductor. In CSI at least one of the devices of each leg should be ON at any point of time to avoid any open circuit of the source inductor. Hence CSI needs overlap time while switching the devices which also causes waveform distortion.

Neither VSI nor CSI can perform buck-boost operation. The main circuit of VSI consists a power switch with an anti-parallel diode for bi-directional current flow. CSI's switches consist of a power switch with a series diode to block reverse voltage. Hence the main circuits of VSI and CSI are design specific and cannot be interchanged.

Voltage Source Inverter	Current Source Inverter		
Buck inverter	Boost inverter		
Distortion due to dead-time	Distortion due to overlap-time		
Output LC filter	Series diode with the switches to		
Output LC Inter	prevent reverse voltage		
Buck-boost inversion is not possible			
Main circuits are not interchangeable between VSI and CSI			

Table 1: Disadvantages of conventional inverters

2 Topology

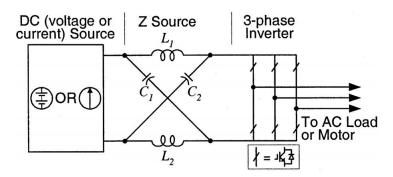


Figure 2: ZSI Topology

The Z-source Inverter (ZSI) [1] aims to standardize the inverter main circuit irrespective of a voltage source or current source at the input. ZSI uses an impedance network between the source and the inverter main circuit. This solves the major problem of the need for dead time or overlap time because any open circuit or short circuit in the main circuit does not directly effect the DC source as it is isolated from the main circuit through the Z-network. This impedance network helps to store energy and boost the DC side voltage to a higher value.

3 Working Principle

Compared to VSI, ZSI has an additional zero-state vector which is the shoot-through zero state. Boost feature of ZSI is obtained using the shoot-through zero state. Voltage is boosted when the shoot-through zero state is implemented instead of the conventional zero state. Shoot-through can be achieved by shorting at least one of the legs of the inverter, which can be done in seven ways.

During transient, the higher energy drawn from the source is stored in the inductor and is then transferred to the capacitor to build up the voltage. Inductor energy builds up during non shoot-through state and is transferred to the capacitor during shoot-through state. The shoot-through state is equivalently forming two LC circuits with L_1 ; C_1 and L_2 ; C_2 . Hence the energy transfer from L to C happens through LC resonance.

3.1 Equivalent Circuit

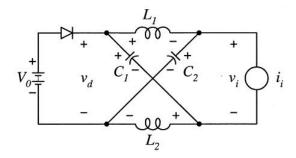


Figure 3: ZSI Equivalent Circuit

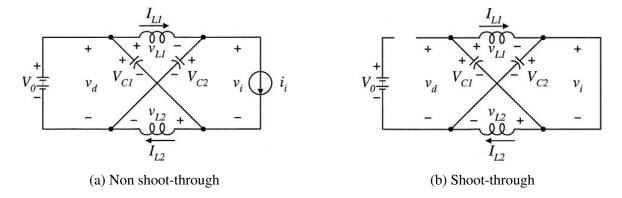


Figure 4: Equivalent circuits in the two states

Figure 3 shows the equivalent circuit of ZSI with a DC voltage source at the input. The voltage across the inverter is v_i and the current drawn by the load is i_i . During non shoot-through, the main circuit is modeled as a current sink, so that even if no current is drawn by the load, the the voltage across the main circuit could still be non-zero (Figure 4a). Whereas during shoot-through, the main circuit is shorted by at least one of the legs, so the voltage across it is zero (Figure 4b). The source diode will be OFF during the shoot-through stage as $v_d > V_0$. This is because the voltage across the capacitors V_C is at least V_0 and the drop across the inductor would make $v_d = (V_C + v_L) > V_0$

3.2 Voltage Derivation

For simplicity, assume $L_1 = L_2$ and $C_1 = C_2$. Now the impedance network becomes symmetric,

$$v_{L_1} = v_{L2} = v_L$$
 and $V_{C1} = V_{C2} = V_C$

Let T_s be the switching period of the inverter. Let T_1 and T_0 be the duration for which the inverter is in non shoot-through and shoot-through states respectively in one switching period. These quantities can be related as,

$$T_s = T_0 + T_1$$

During non shoot-through, from Figure 4a,

$$v_L = V_0 - V_C;$$
 $v_d = V_0;$ $v_i = 2V_C - V_0$

During shoot-through, from Figure 4b,

$$v_L = V_C;$$
 $v_d = 2V_C;$ $v_i = 0$

By applying volt-sec balance to the inductors,

$$\langle v_L \rangle = 0;$$

 $(V_0 - V_C).T_1 + V_C.T_0 = 0;$

$$V_C = \frac{T_1}{T_1 - T_0} . V_0$$

Voltage across the inverter during non shoot-through,

$$v_i = 2V_C - V_0 = \frac{T_s}{T_1 - T_0} V_0 = B \cdot V_0;$$

$$B = \frac{T_s}{T_1 - T_0} = \frac{1}{1 - 2\frac{T_0}{T_s}} \ge 1$$

Maximum boost factor depends on the maximum time available for shoot-through. For a given modulation index M, output high duration = $M.T_s$. Maximum time available for an active state = T_1 .

$$M.T_s \leq T_1$$
;

$$M.T_s \le T_s - T_0;$$

$$T_0 \le (1 - M).T_s$$

$$B_{max} = \frac{1}{2M - 1}$$

For a given modulation index M and given voltage V_{inv} across the inverter, output AC voltage peak,

$$\hat{v}_{ac-max} = M.\frac{V_{inv}}{2}$$

In maximum boost operation, $V_{inv} = \frac{V_0}{2M-1}$;

$$\hat{v}_{ac-max} = \frac{M}{2M-1} \cdot \frac{V_0}{2}$$

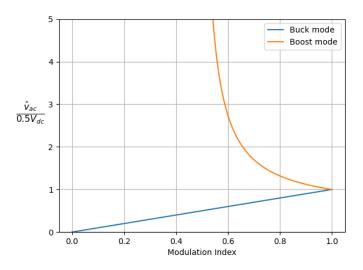


Figure 5: Buck-boost characteristics of ZSI

In buck mode $\frac{\hat{v}_{ac}}{0.5V_{dc}}=M$ and in maximum boost mode $\frac{\hat{v}_{ac}}{0.5V_{dc}}=\frac{M}{2M-1}$

For boost operation, it is always better to operate close to the maximum boost line for the efficient use of the Z-network. In any operating point under the maximum boost line, we would be boosting the DC voltage to a higher value and operating at a lower modulation index compared to the maximum boost point for the required AC peak voltage.

4 Sine-triangle Implementation

4.1 Direct Implementation

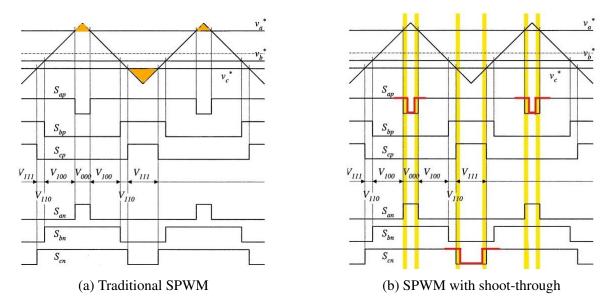


Figure 6: Inputs of the inverter switches

In Figure 6, v_a , v_b , v_c denote the values of the 3-phase modulating signals at a particular instant. S_{ap} , S_{bp} , S_{cp} are the corresponding inputs to the top switches. S_{an} , S_{bn} , S_{cn} are the corresponding inputs to the bottom switches of the inverter.

In Figure 6a, the regions marked in orange denote the traditional zero-state of the inverter (V_{000}, V_{111}) . In the direct implementation, the shoot-through zero state is implemented during the traditional zero-state by shorting at least one of the legs. Compared to traditional SPWM, this shoot-trough implementation needs to tweak only two of the six wave-forms of the control voltages in every cycle. Hence it is very efficient in terms of the logic used for its generation.

To achieve a shoot-through period of $T_0 = D.T_s$, we have to identify the max and min of v_a, v_b, v_c and increase the max value by D for the corresponding top switch and reduce the min value by D for the corresponding bottom switch. Doing this, we get a shoot-through time of $\frac{D.T_s}{2}$ from each of the two zero-states(V_{000}, V_{111}). Note that the switching frequency of the switches remains the same.

In the above instant, v_a is the maximum and v_c is the minimum. So we have to effectively generate SPWM with $v_a + D$, v_b , v_c for top switches and v_a , v_b , $v_c - D$ for the bottom switches. Corresponding plot is shown in Figure 6b

4.2 Dividing shoot-through equally

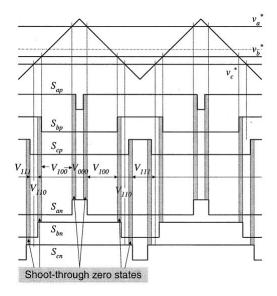


Figure 7: Equal shoot-through division

Figure 7 shows the implementation by dividing the shoot-through time equally among the three legs of the inverter. Here also the switching frequency of the inverter doesn't change.

Shoot-through state is introduced at every toggling of the legs. Each leg toggles twice in a period and there are three legs, so there are six toggles happening in one period. A shoot-through duration of $\frac{D.T_s}{6}$ is introduced at every toggle of the legs to get an overall shoot-through duration of $D.T_s$ from the entire period.

The implementation logic is slightly complicated because the toggling caused by mid is not close to a traditional zero state. So to implement shoot-through for mid transition, zero state time has to be borrowed from either max or min toggles, whichever has same sign as mid. This choice of max or min is made to avoid any overlap of shoot-through's of any of the six toggles. Borrowing zero state from max or min with same sign as mid ensures non overlapping shoot-through's as the shoot-through time is borrowed from the same traditional zero state i.e., either V_{000} or V_{111} .

This implementation gives the advantage of having an effective switching frequency of 6x between shoot-through and non shoot-through states, hence smaller L and C are needed to achieve a given ripple specification.

MATLAB code for the equal shoot-through implementation is given below:

```
%[mR,mY,mB] are the modulating signals at an instant
%M - (Modulation index)
[r,y,b] and [r,y,b] are the output modulating signals for the top and ...
  bottom switches
function [b,b_,y,y_,r,r_] = transform(mR,mY,mB,M)
inp = [mR, mY, mB];
out = [mR, mY, mB];
out_ = [mR, mY, mB];
m0 = 2 * (1-M) / 3;
[ma,amax] = max(inp);
[mi,amin] = min(inp);
if (inp(6-amax-amin) > 0)
    out_(amin) = inp(amin) - m0;
    out(6-amax-amin) = inp(6-amax-amin) + m0;
    out (amax) = inp(amax) + 2*m0;
    out_(amax) = inp(amax) + m0;
else
    out (amax) = inp(amax) + m0;
    out_(6-amax-amin) = inp(6-amax-amin) - m0;
    out_(amin) = inp(amin) - 2*m0;
    out (amin) = inp(amin) - m0;
end
b = out(3); b_ = out_(3);
y = out(2); y_{-} = out_{-}(2);
r = out(1); r_{-} = out_{-}(1);
```

Given below is a simulation plot of the voltage across the inverter depicting the shoot-through happening six times in one period -

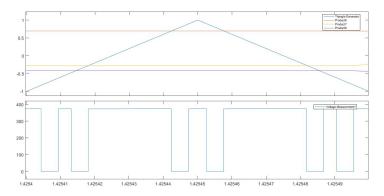


Figure 8: Effective 6x switching frequency

5 Inductor and Capacitor Ripple

5.1 Maximum Ripple Instant

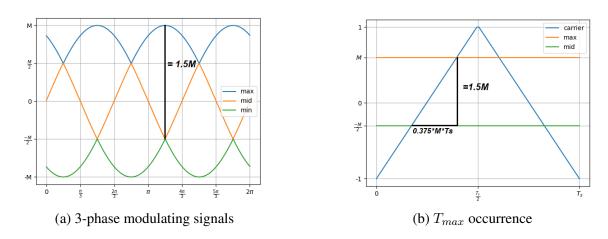


Figure 9: Maximum time between two shoot-through's

To find the accurate ripple expressions, we have to identify the period when the inverter stays in a particular state(shoot-through or non shoot-through) for the longest time.

Suppose max,mid,min is the descending order of modulating voltages in a particular cycle, leg corresponding to min switches first, then mid, then max. So to find the maximum time between two shoot-through's, we have to find the maximum possible value of (max-mid) or (mid-min). From Figure 9a, the maximum value happens to be $\frac{3M}{2}$ and occurs when [max=M; mid=min=-0.5M] or [min=-M; mid=max=0.5M]

The corresponding maximum time between shoot-through's (Figure 9b) is given by,

$$T_{max} = \frac{3.M.T_s}{8}$$

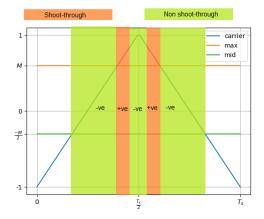


Figure 10: Maximum ripple cycle

During the maximum ripple cycle, T_{max} occurs twice with some shoot-through states in between (Figure 10). The corresponding duration of shoot-through and non shoot-through are given by,

$$T_{nst} = 2 * T_{max} + \frac{(1-M).T_s}{6};$$

$$T_{nst} = \frac{(7M+2).T_s}{12}$$

$$T_{st} = \frac{(1-M).T_s}{3}$$

The maximum ripple occurs as the resultant of T_{nst} and T_{st}

5.2 Inductor Ripple

During non shoot-through, $v_{L1} = V_g - V_C = -\frac{1-M}{2M-1}V_g$

During shoot-through, v_{L2} = V_C = $\frac{M}{2M-1}V_g$

$$\Delta i_{pk-pk} = \frac{v_{L1}.T_{nst} + v_{L2}.T_{st}}{L}$$

$$\Delta i_{pk-pk} = \frac{(3M+2).(1-M)}{12.(2M-1)} \frac{V_g.T_s}{L}$$

5.3 Capacitor Ripple

During shoot-through, $i_{C2} = I_L$

By amp-sec balance, during non shoot-through, $i_{C1} = -\frac{1-M}{M}I_L$

$$\Delta v_{pk-pk} = \frac{i_{C1} \cdot T_{nst} + i_{C2} \cdot T_{st}}{L}$$

$$\Delta v_{pk-pk} = \frac{(3M+2).(1-M)}{12M} \frac{I_L.T_s}{C}$$

Capacitor ripple has been obtained as a function of I_L , which in-turn depends on the load. In the ideal case of zero losses, $P_g = P_{load}$ and from the ZSI topology, $\langle i_g \rangle = I_L$.

$$\implies V_g.I_L = P_{load}$$

$$I_L = \frac{P_{load}}{V_g}$$

6 DCM Possibility in ZSI

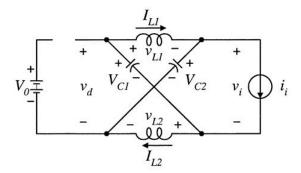


Figure 11: Third possible state in DCM

Figure 4a and Figure 4b show the equivalent circuits during non shoot-through and shoot-through. The main circuit switches are in our control to decide the state of the ZSI. The assumption is that during non shoot-through, the inductor voltage is non-zero and is high enough to turn on the source diode. This assumption fails in DCM when the inductor current saturates to zero and the voltage drop across the inductor is zero. Since $V_c > V_0$, the source diode turns off and the capacitors supply the required load current. The equivalent circuit of the third possible state in ZSI is given in Figure 11. The equations governing this state are:

$$v_L = 0$$
 ; $v_i = V_c$; $i_C = i_i$

7 An Improved ZSI Topology

7.1 Disadvantages of traditional ZSI

1. Switching current drawn from the source:

Since the source diode is OFF during shoot-through, the current drawn from the source is pulsating. This can be avoided by having a capacitor in parallel with the DC voltage source to absorb the ripples.

2. Inrush current due to LC-resonance:

Inrush current is observed due to a combined effect of LC-resonance and shoot-through. During startup when the capacitors are charging up, the voltage across them is not high enough to turn OFF the source diode during shoot-through, making the circuit equivalently an LC circuit. Shoot-through inrush can be eliminated by doing soft-start i.e., gradually increasing the shoot-through time from zero to the desired value.

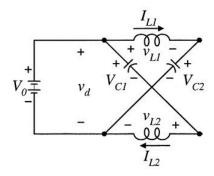


Figure 12: Equivalent circuit on start-up

Since load current is initially zero and the capacitors are not charged up, the circuit behaves as an LC oscillator for a period of $\pi\sqrt{LC}$. After that the inductor current cannot go negative as the source diode turns OFF and there is no further oscillation. As shown in the Figure 12, assuming the main circuit is OFF initially, $i_L = 2V_g\sqrt{\frac{C}{L}}sin(\frac{t}{\sqrt{LC}})$, for $0 < t < \pi\sqrt{LC}$. So we observe a current peak of $V_g\sqrt{\frac{C}{L}}$ on startup.

This inrush is not a problem with a solar array at the input because the current that the solar array can provide is limited by its short-circuit current which is very low compared to the LC resonance peak current.

3. High capacitor stress:

The steady state voltage across the capacitors is given by $V_C = \frac{M}{2M-1}V_g$ (M>0.5), which is much higher than V_g requiring bulky capacitors.

7.2 Alternate Topologies

1. Quasi ZSI [2]: smooth source current

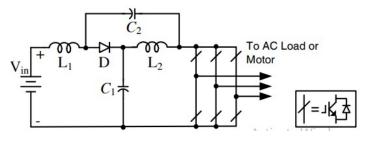


Figure 13: Quasi-ZSI

Quasi-ZSI's topology has an inductor in series with the DC volatge source to have continuous source current.

2. Swapping diode and main circuit: no LC resonance and reduced capacitor stress

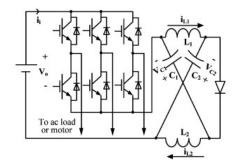


Figure 14: No LC resonance ZSI

7.3 Improved Topology

The topology in Figure 14 eliminates LC resonance and makes the inverter compact by reducing capacitor stress [3].

LC resonance is eliminated because the source-LC path completes through the inverter. Direct source-LC path is established only during shoot-through, which is anyways being soft-started. The soft-start period allows the LC network to charge up slowly instead of resonating.

7.3.1 Voltage Derivation

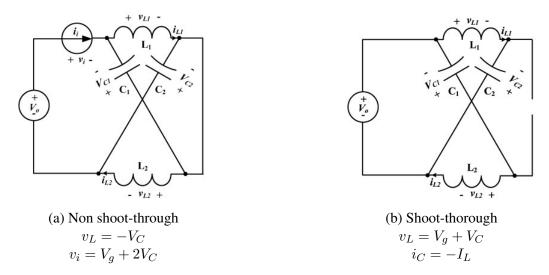


Figure 15: Equivalent circuits in the two states

$$\langle v_L \rangle = 0 \implies V_C = \frac{V_g \cdot T_0}{T_1 - T_0}$$

$$V_C = \frac{1 - M}{2M - 1} V_g$$

The above derived V_C is less than that in the traditional ZSI ($V_C = \frac{M}{2M-1}V_g$), as M > 0.5 for boost operation.

Voltage across the inverter, $v_i = V_g + 2V_C = \frac{1}{2M-1}V_g$, which is same as in the traditional ZSI. The voltages across the inductor and the currents in the capacitor during shoot-through and non shoot-through are the same. So, the ripple quantities also do not change.

8 Solar array characteristics and topology

8.1 Solar panel characteristics

To a first order, solar cell can be modeled as a current source in parallel with a diode [4]. The current source signifies the electron-hole pairs generated from the depletion region when light falls on it and the diode signifies the recombination of few of the generated pairs due the forward voltage across the solar cell, which itself is a special pn-junction diode.

A practical solar panel, which is obtained from a series-parallel combination of solar cells, can be modeled with some series and parallel resistance along with the effective current source and diode.

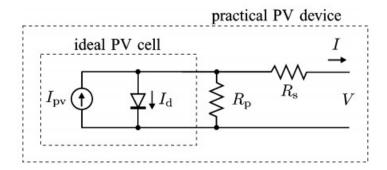


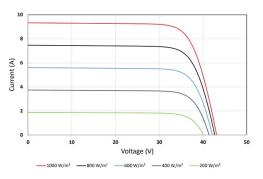
Figure 16: Single diode model of a PV panel

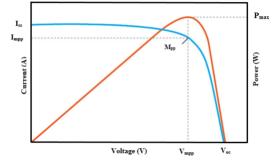
The equation governing the above model is given by,

$$I = I_{pv} - I_{d0} \left[\exp\left(\frac{V + IR_s}{a.V_t}\right) - 1 \right] - \frac{V + IR_s}{R_p}$$

 $V_t = N_s \frac{kT}{q}$, when N_s cells are in series and a is the diode idelaity factor(≈ 1). The value of I_{pv} is a function of solar radiation.

Typical I-V and Power-Voltage characteristics of a solar panel are given below:





- (a) IV characteristics at different radiations
- (b) Power-V characteristics at a particular radiation

Figure 17: Typical solar panel characteristics

As shown in the power-voltage characteristics, there is a particular voltage of operation at which the output power is maximum. We need to operate close to the maximum power point for efficient use of the panels. This is called Maximum Power Point Tracking (MPPT).

8.2 Solar array topology

A typical solar panel of 2m*1m dimensions has MPP at \sim 320Wp @ \sim 40Vp. Assuming a $16m^2$ rooftop area, 8 such panels can be used to get \sim 2.5KWp power

All panels in series: Advantage is that the boost factor will be lower as the DC bus voltage is higher.

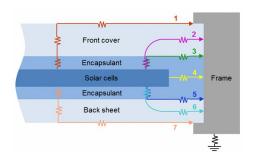
But the disadvantage is that partial shadowing of one of the panel limits the current in the whole array leading to a lesser output power.

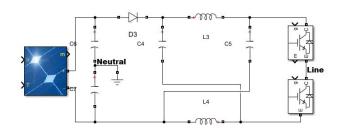
Hence a 4*2 series-parallel topology is optimal.

8.3 Three phase vs single phase grid-connected ZSI

To keep the inverter hardware minimal, isolation transformer is tried to be avoided in the design. Problem with transformer-less single phase grid-connected ZSI:

- Potential Induced Degradation(PID) in full-bridge topology. Here the neutral of the grid has to be connected to a switching node. Considering neutral as a universal ground, all nodes in the ZSI, including the solar panel terminals, will be switching wrt neutral. This makes the parasitic capacitance between the solar panels and earth active through the frame of the panels. This not only increases losses but also degrades the panels over time.
- Half-bridge topology is not feasible as the DC side is disconnected from the grid during shootthrough state. So neutral cannot be connected to the mid voltage of the DC side. Theoretically this is possible with an infinite capacitance on DC side, as an infinite capacitance need not satisfy amp-sec balance.





- (a) Resistive parasitic between the panel and earth
- (b) Theoretical half-bridge topology

Figure 18: Problems with single-phase ZSI

Though single-phase supplies are common in households, three-phase ZSI has been chosen, for the above short-comings of single-phase ZSI. Three phase system gives the advantage of avoiding neutral connection.

9 Control Scheme

9.1 MPPT

MPPT can be implemented by a simple perturb and observe control [5] on the reference current pumped into the grid. The volatge and current from the solar array is sampled at a rate slower than the switching frequency. Let Δi_{prev} be the MPPT output and P_{prev} be the measured power in the previous instant and P_{curr} be the power measured now. The sign of the present output Δi_{curr} should be the sign of $(P_{curr} - P_{prev}) * \Delta i_{prev}$, i.e., if in the previous instant reference current has been increased but the power output has reduced it would imply that we are on the falling side of the power hill, so the reference needs to be reduced. Similarly for the other three cases, the reference current is increased or decreased accordingly.

9.2 Inverter Current Control [6]

The three phase grid-side currents are measured and converted to d-q quantities using the Clarke transformation. The d-reference for current is given by the MPPT and the q-reference is set to zero to deliver power at unity power factor. The compensator takes the grid-side dq current values as inputs and gives out the required voltage and phase required on the inverter side output. Based on the compensator output and the DC volatge of solar array, required modulation index for maximum boost operation is calculated and fed to the switches. The ZSI is operated in maximum boost mode for the efficient utilization of the Z-network.

10 Simulation Results

10.1 Three phase ZSI with R-load

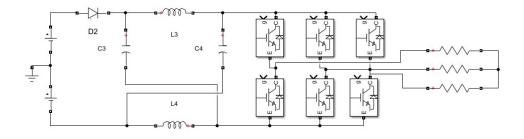
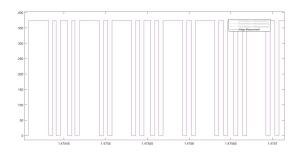
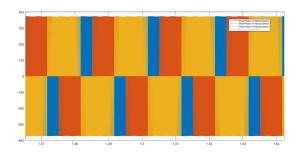


Figure 19: Three phase ZSI with R-load

Functionality of ZSI has been verified by simulation with a 150V DC source and three phase R load. The ZSI has been operated in maximum boost mode at a modulation index of 0.7 and a switching frequency of 10kHz. Theoretical boosted voltage is given by $\frac{V_{dc}}{2M-1} = 375V$ and the simulated value matches the expected one. Shoot-through has been implemented as illustrated in Section 4.2.





- (a) Inverter Voltage switching between 375V and 0V during non shoot-through and shoot-through states respectively
- (b) Three phase voltages with three levels

Figure 20: Simulation Plots

Inductor and capacitor values used are 1mH and 1mF respectively. The ripple quantities as calculated in Section 5 are found to be matching with the simulated value.

Calculated ripple values are - (
$$V_g=150$$
 , $M=0.7$, $T_s=10^{-4}$)

$$\Delta i_{pk-pk} = \frac{(3M+2).(1-M)}{12.(2M-1)} \frac{V_g.T_s}{L} = 3.84A$$
 ; $\Delta v_{pk-pk} = \frac{(3M+2).(1-M)}{12M} \frac{I_L.T_s}{C} = 0.53V$

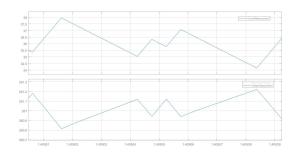


Figure 21: Inductor current and Capacitor voltage in the maximum ripple instant

	Calculated	Simulated
$\Delta i_{pk-pk}(\mathbf{A})$	3.84	3.82
$\Delta v_{pk-pk}(V)$	0.53	0.41

Table 2: Ripple quantities comparison

10.2 Improved ZSI with R-load

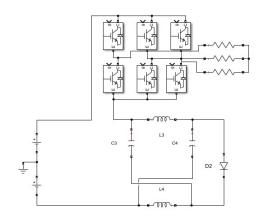


Figure 22: Three phase ZSI with R-load

Simulation of the improved ZSI is done with same component values as above except that the source diode and main circuit are interchanged. The current peak due to resonance has become as low as 15A, which is much below the operating point of 75A. The capacitor voltage is 111V, which in case of traditional ZSI was 262V, giving an improvement of $\frac{M}{1-M} = \frac{0.7}{1-0.7} = 2.33$ in the capacitor stress. The inductor and capacitor ripple quantities have also been verified to be the same as with traditional ZSI.

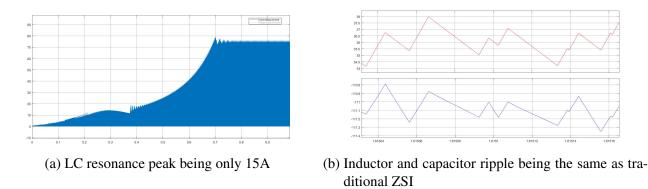


Figure 23: Improved ZSI Simulation

10.3 Three phase grid-connected solar ZSI (openloop)

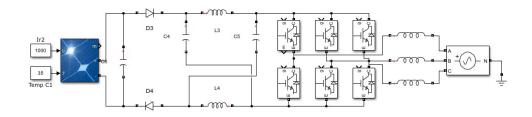


Figure 24: Simulation schematic

A solar array with 8 panels connected in 4-series, 2-parallel topology has been used as the input. The IV and PV characteristics of the array at $1000~W/m^2$ radiation @ 35 ° C ambient temperature is given below -

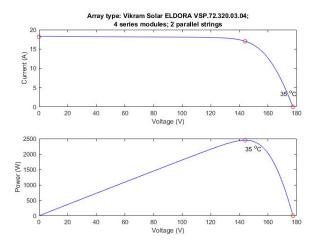


Figure 25: Solar array characteristics

The modulation index has been adjusted so that the output power is close to the MPP. The ZSI is operated at 0.6 modulation index which gave an output power of 2192W.

The solar array in steady state is operated @ 156V , 14.4 A. Theoretical boosted voltage is given by $\frac{V_{dc}}{2M-1} = 780V$, but the simulated value is found to be 1066V which is because of the inductors which are connected between the inverter and the grid. The 5-level phase voltage is because the voltages are referred to the neutral of the grid and not the mid-point of the DC side.

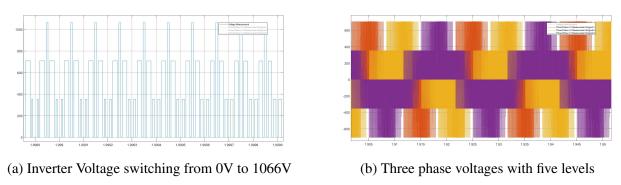


Figure 26: Simulation Plots

11 Conclusion

The Z-source inverter uses an impedance network between the source and main circuit, which provides some unique features compared to the traditional VSI and CSI. It has been verified from simulations that ZSI overcomes the shortcomings of conventional inverters. ZSI can effectively replace all the systems which use a boost converter and VSI pair to achieve boosted inversion.

ZSI can also make household PV systems compact by avoiding a boost converter and also making the controls minimal. The current control for grid-connected ZSI has to be done carefully as the shoot-through implementation needs the present modulation index along with the modulating wave-forms with both of them having same delay, and delay skew might vary the ZSI transfer function.

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